SECURITIES AND EXCHANGE BOARD OF INDIA

SEBI BHAVAN, Plot No.C4-A, G-Block,
Bandra Kurla Complex, MUMBAI – 400 051
Tel. No. 022-2644 9327

CERTIFICATE No. 4607 of 2022

Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the Income-tax Act, 1961

Brindaban Holdings and Trading Ltd.
Room No. 7, Kondeshwar Bhawan
Tilak Road, Ghatkopar (E)
Mumbai 400077

PAN: AAACB9193J

NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992

1. This is to certify that a sum of Rs.1521000/- (Rupees Fifteen Lakh Twenty One Thousand Only) as detailed below is due to SEBI from you.

Description of Dues	Amount
Penalty imposed on **Brindaban Holdings and Trading Ltd. (PAN: AAACB9193J)** by the Adjudicating Officer vide order no. AO/AK/1/2018 dated 31/01/2018 in the matter of Failure to obtain SCORES Authentication.	Rs. 1000000
Interest from January 2018 to April 2022 @ 12% p.a.	Rs. 520000
Recovery cost	Rs. 1,000
Total	**Rs. 1521000 + Interest till Actual date of Payment**

2. You are hereby directed to pay the above sum of Rs. 1521000/- (Rupees Fifteen Lakh Twenty One Thousand Only) along with further interest, all costs, charges and expenses, within 15 (Fifteen) days of the receipt of this Notice (**DD shall be drawn in favour of the "SEBI RECOVERY PROCEEDS" payable at Mumbai (or) EFT/NEFT/RTGS to A/c No. SEBIRRDPEN4607 of ICICI Bank [IFS Code-ICIC0000106) and immediately intimate the same along with UTR No. and other transaction details by email to suchismitas@sebi.gov.in/ deeptis@sebi.gov.in**, failing which the recovery shall be made in accordance with the provisions of **Section 28A of the Securities and Exchange Board of India Act, 1992** read with section 220 to 227, 228A, 229 and 232 of the Income-tax Act, 1961 and the Second Schedule to the said Act and the rules made thereunder.

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SECURITIES AND EXCHANGE BOARD OF INDIA ★ RECOVERY DIVISION ★

3. In the event of non-payment of the dues as above, SEBI shall recover the money by one or more of the following modes, namely:-
   (a) attachment and sale of your movable property;
   (b) attachment of your bank accounts;
   (c) attachment and sale of your immovable property;
   (d) arrest and detention in prison;
   (e) appointing a receiver for the management of your movable and immovable properties.

4. Further, as per Explanation 1 to section 28A of the SEBI Act, any direct or indirect transfer of your property or monies held in bank accounts to your spouse or minor child or son's wife or son's minor child, otherwise than for adequate consideration, on or after 31/01/2018 shall be deemed to be your property or money for the purpose of recovery.

5. You are also advised to take note that upon service of this notice, you are not competent to mortgage, charge, lease or otherwise deal with any property belonging to you except with the permission of the Recovery Officer and any such transfer shall be deemed void as per rule 16 of the Second Schedule to the Income-tax Act, 1961 read with section 28A of the SEBI Act.

6. Any confirmation of e-payment(s) made (in the format as given in table below) should be forwarded to "The Recovery Officer, Recovery Division IV, Securities and Exchange Board of India, Plot C4-A, G Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051" or sent by email to suchismitas@sebi.gov.in and deeptis@sebi.gov.in.

Case Name and Recovery Certificate Number:	
Name of Payer:	
Date of Payment:	
Amount Paid:	
Transaction No.:	
Bank Details (Bank Name, Branch Name, IFSC Code and Account No.) from which payment is made:	

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards your dues.

7. In addition to the aforesaid dues, you will also be liable for further interest, all costs, charges and expenses incurred in respect of recovery proceedings against you.

SECURITIES AND EXCHANGE BOARD OF INDIA
SEBI
★ RECOVERY DIVISION ★

Dated: 05/04/2022

Suchismita Sahoo

Recovery Officer

Suchismita Sahoo
सुचिस्मिता साहू
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूति एवं विनिमय बोर्ड
Mumbai
मुंबई