



Recovery & Refund Department
Recovery Division 2
Email: recoveryho@sebi.gov.in

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Notice of Attachment of Bank Account

Attachment Proceeding No. 11299 of 2023
Certificate No. 7122 of 2023

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

- Whereas a Recovery Certificate No. 7122 of 2023 dated September 07, 2023 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 9,74,000/- (Rupees Nine Lakh Seventy Four Thousand Only) as detailed given below along with further interest, costs, charges and expenses etc. against **Mohammad Rafi (PAN: BMEPM7501B) ["Defaulter"]** and the same is due from the Defaulter in respect of the said certificate. A Notice of Demand dated September 07, 2023 has been issued to Defaulter.

Description of Dues	Amount (in Rupees)
Penalty imposed by the Adjudicating Officer vide Order No. Order/BD/NR/2020-21/8060-8080 dated June 30, 2020 in the matter of Finalysis Credit & Guarantee Co. Ltd.	7,00,000/-
Interest from July 2020 to September 2023 @ 1% p.m.	2,73,000/-
Recovery Cost	1,000/-
Total	9,74,000/-

- Whereas no amount has been paid by the defaulter and there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
 - All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
 - All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.

Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



अनुवर्ती :
Continuation :

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Board of India

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A .P. No. 11299 of 2023

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s **to the extent of the total dues mentioned above** until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
 - a) Details of all the Accounts including Lockers held by the Defaulter with your Bank;
 - b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
 - c) Confirmation of Attachment of the said account/s and lockers; and
 - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: amard@sebi.gov.in & recoveryho@sebi.gov.in.
7. This Notice of attachment is issued in exercise of powers conferred under sections 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961.

Given under my hand and seal at Mumbai this 04th day of October 2023.



Copy:
Mohammad Rafi
H 73, Mahindra Park, B-1,
H-Wing, LBS Mag,
Nr. Union Bank Of India,
Ghatkopar West, Mumbai - 400086

RECOVERY OFFICER

ANUBHAV ROY

अनुभव रॉय
Dy. General Manager & Recovery Officer
उप. महाप्रबंधक और वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूति और विनिमय बोर्ड
MUMBAI
मुंबई

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).



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Recovery & Refund Department
Recovery Division 2
Email: recoveryho@sebi.gov.in

Notice of Attachment of Demat Account and Mutual Fund Folio(s)

Attachment Proceeding No. 11300 of 2023
Certificate No. 7122 of 2023

National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai - 400 013.

Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai - 400001

The Principal Officer /Chairman & Managing Director / CEO
All the Mutual Funds in India.

1. Whereas a Recovery Certificate No. 7122 of 2023 dated September 07, 2023 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 9,74,000/- (Rupees Nine Lakh Seventy Four Thousand Only)** as detailed given below along with further interest, costs, charges and expenses etc. against **Mohammad Rafi (PAN: BMEPM7501B) ["Defaulter"]** and the same is due from the Defaulter in respect of the said certificate. A Notice of Demand dated September 07, 2023 has been issued to Defaulter.

Description of Dues	Amount (in Rupees)
Penalty imposed by the Adjudicating Officer vide Order No. Order/BD/NR/2020-21/8060-8080 dated June 30, 2020 in the matter of Finalysis Credit & Guarantee Co. Ltd.	7,00,000/-
Interest from July 2020 to September 2023 @ 1% p.m.	2,73,000/-
Recovery Cost	1,000/-
Total	9,74,000/-

2. Whereas no amount has been paid by the Defaulter and there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :
- All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
 - All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

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Shun/m





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A .P. No. 11300 of 2023

4. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the accounts/folios may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
 - a) Details of all the Accounts/folios held by the defaulter with you;
 - b) Copy of the Account Statement/s; and
 - c) Confirmation of Attachment of the said accounts/folios
6. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: amard@sebi.gov.in & recoveryho@sebi.gov.in.
7. This Notice of attachment is issued in exercise of powers conferred under sections 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961

Given under my hand and seal at Mumbai this 04th day of October 2023.



Copy:
Mohammad Rafi
H 73, Mahindra Park, B-1,
H-Wing, LBS Mag,
Nr. Union Bank Of India,
Ghatkopar West, Mumbai - 400086


RECOVERY OFFICER
ANUBHAV ROY

अनुभव रॉय
Dy. General Manager & Recovery Officer
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Securities and Exchange Board of India
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MUMBAI
मुंबई

(With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts).