

**SECURITIES AND EXCHANGE BOARD OF INDIA
EASTERN REGIONAL OFFICE (KOLKATA)**

**Completion of Recovery Certificate no. RC8148 of 2024 dated October 07, 2024 in
respect of Swapna Kundu (PAN: BCOPK4508E) in the matter of Illiquid Stock Options**

A Recovery Certificate No. **RC8148 of 2024 dated October 07, 2024**, was drawn up by the Recovery Officer against **Swapna Kundu (PAN: BCOPK4508E) ["Defaulter"]** for a sum of **Rs. 5,96,000/- (Rupees Five Lakh Ninety Six Thousand Only)** further interest, all costs, charges and expenses incurred in respect of all the proceedings for recovery of the said sum, for non-payment of penalty imposed by the Adjudicating Officer vide **Order No. Order/SM/AD/2023-24/26086 dated April 28, 2023** against **Swapna Kundu (PAN: BCOPK4508E) in the matter of Illiquid Stock Options**

In view of payment of the amount of **Rs. 6,06,000.48/-**, which includes interest and costs due under the aforesaid recovery certificate, recovery in said matter stands completed in exercise of powers under sub-section (1) of section 28A of the SEBI Act, 1992 read with relevant provisions of the Income-tax Act, 1961.

**Date- December 23, 2024
Place- Kolkata**




RECOVERY OFFICER

राज कुमार कलुरी / Raj Kumar Kaluri
वसुली अधिकारी एवं उपाय महाप्रबंधक
Recovery Officer & Dy. General Manager
भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India
दुर्गाकला / Kolkata