



भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India

Western Regional Office
Recovery Division
recoverywro@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 10531/2023
Certificate No. RC2067/2019

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India

Whereas a Recovery Certificate No. RC20672019 dated April 05, 2019 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 4,17,636/- (Rupees Four Lakh Seventeen Thousand Six Hundred Thirty-Six Only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum etc. as detailed given below against Mr. Nilesh R Pandya (PAN: AAYPP7274P) and the same is due from him in respect of the said certificate. A Notice of Demand dated April 05, 2019 has been issued to the said defaulter.

Description of Dues	Amount (Rs.)
Penalty imposed by the Adjudicating Officer vide Order No. EAD-9/SM/71/2018 dated July 25, 2018 in the matter of Oregon Commercial Ltd.	4,00,000
Interest from 25/07/2018 to 04/04/2019 @ 12%	16,636
Recovery Cost	1,000
Total	4,17,636

1. And whereas the defaulter has failed to pay aforesaid amount in terms of the notice of demand dated April 05, 2019.
2. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:





अनुवर्ती:
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

- i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank.
- ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.
3. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
4. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
 - a) Details all the Accounts including Lockers held by the defaulter with your Bank;
 - b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
 - c) Confirmation of Attachment of the said account/s; and
 - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.
5. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoverywro@sebi.gov.in.
6. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Ahmedabad this **04th Day of July, 2023**.



Copy to:


RECOVERY OFFICER
N. U. Raju
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad

श्री नीलेश आर पंड्या 22, दत्त सोसायटी, आनंद नगर, पालडी, अहमदाबाद - 380007	Mr. Nilesh R Pandya 22, Dutt Society, Anand Nagar, Paldi, Ahmedabad - 380007
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(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)



Western Regional Office
Recovery Division
recoverywro@sebi.gov.in

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Notice of Attachment of Demat Account

Attachment Proceeding No. 10532/2023
Certificate No. RC2067/2019

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India.

Whereas a **Recovery Certificate No. RC20672019** dated **April 05, 2019** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 4,17,636/- (Rupees Four Lakh Seventeen Thousand Six Hundred Thirty-Six Only)** along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum etc. as detailed given below against **Mr. Nilesh R Pandya (PAN: AAYPP7274P)** and the same is due from him in respect of the said certificate. A **Notice of Demand dated April 05, 2019** has been issued to the said defaulter.

Description of Dues	Amount (Rs.)
Penalty imposed by the Adjudicating Officer vide Order No. EAD-9/SM/71/2018 dated July 25, 2018 in the matter of Oregon Commercial Ltd.	4,00,000
Interest from 25/07/2018 to 04/04/2019 @ 12%	16,636
Recovery Cost	1,000
Total	4,17,636



[Handwritten Signature]



अनुवर्ती:
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

1. And whereas the defaulter has failed to pay aforesaid amount in terms of the notice of demand dated **April 05, 2019**.
2. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
 - i. All Demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
 - ii. All funds/folios/schemes held by whatever name called of the defaulters, either singly or jointly with any other person/s held with you.
3. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
4. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice:
 - a) Details all the Accounts held by the defaulter with you;
 - b) Copy of the Account Statement/s;
 - c) Confirmation of Attachment of the said account/s
5. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Ahmedabad this **04th Day of July, 2023**.



Copy to:

RECOVERY OFFICER
N. U. Raju

Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad

श्री नीलेश आर पंड्या 22, दत्त सोसायटी, आनंद नगर, पालडी, अहमदाबाद - 380007	Mr. Nilesh R Pandya 22, Dutt Society, Anand Nagar, Paldi, Ahmedabad - 380007
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(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)