भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

Western Regional Office
Recovery Division
recoverywro@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 11743/2023
Certificate No. RC7216/2023

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India

Whereas a **Recovery Certificate No. RC7216/2023 dated October 10, 2023** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.5,26,000/- (Rupees Five Lakh Twenty-Six Thousands Only)** along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum etc. as detailed given below against **Mr. Nilesh Kishanbhai Pandya (PAN: CWUPP8504Q)** and the same is due from him in respect of the said certificate. A **Notice of Demand dated October 10, 2023** has been issued to the said defaulter.

Description of Dues	**Amount (Rs.)**
Penalty imposed by the Adjudicating Officer vide Order No. Order/GR/RK/2023-24/27469-27483 dated June 16, 2023 in the matter of Kapil Raj Finance Ltd..	500000.00
Interest from June 2023 to December 2023 @ 1% p.m.	25000.00
Recovery Cost	1000.00
Total	**526000**

1. And whereas the defaulter has failed to pay aforesaid amount in terms of the notice of demand dated **October 10, 2023.**

2. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

भारतीय प्रतिभूति और विनिमय बोर्ड पश्चिमी प्रादेशिक कार्यालय Western Regional Office Securities and Exchange Board of India

पश्चिमी प्रादेशिक कार्यालय : सेबी भवन, पंचवटी पहली गली, गुलबाई टेकरा रोड, अहमदाबाद 380 006. दूरभाष: (079) 26583633/34/35 फेक्स: 26583632 ई-मेल : sebiwro@sebi.gov.in
Western Regional Office : SEBI Bhavan, Panchvati 1st Lane, Gulbai Tekra Road, Ahmedabad - 380 006. Ph.: (079) 26583633/34/35 Fax: 26583632 E-mail: sebiwro@sebi.gov.in
प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्श, बांद्रा (पूर्व), मुंबई-400051. दूरभाष: (022) 26449000 फेक्स: 26449019 to 26449022 वेब : www.sebi.gov.in ई-मेल: sebi@sebi.gov.in
Head Office : SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400051. Ph.: (022) 26449000 Fax: 26449019 to 26449022 Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in

i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank.

ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

3. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

4. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

a) Details all the Accounts including Lockers held by the defaulter with your Bank;
b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
c)Confirmation of Attachment of the said account/s; and
d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

5. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoverywro@sebi.gov.in.

6. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Ahmedabad this **11th Day of December, 2023.**

भारतीय प्रतिभूति और विनिमय बोर्ड
पश्चिमी प्रादेशिक कार्यालय
Western Regional Office
Securities and Exchange Board of India

RECOVERY OFFICER

N. U. Raju
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad

Copy to:

नीलेश किशनभाई पंड्या 808/2 मारवाड़ी स्ट्रीट, हजाम गली, आज़ाद गली, मिर्ज़ापुर अहमदाबाद - 380001	Nilesh Kishanbhai Pandya 808/2 Marwadi Street, Hajam Gali, Azad Gali, Mirzapur Ahmedabad - 380001

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Western Regional Office
Recovery Division
recoverywro@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 11744/2023
Certificate No. RC7216/2023

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India.

Whereas a **Recovery Certificate No. RC7216/2023 dated October 10, 2023** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.5,26,000/- (Rupees Five Lakh Twenty-Six Thousands Only)** along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum etc. as detailed given below against **Mr. Nilesh Kishanbhai Pandya (PAN: CWUPP8504Q)** and the same is due from him in respect of the said certificate. A **Notice of Demand dated October 10, 2023** has been issued to the said defaulter.

Description of Dues	**Amount (Rs.)**
Penalty imposed by the Adjudicating Officer vide Order No. Order/GR/RK/2023-24/27469-27483 dated June 16, 2023 in the matter of Kapil Raj Finance Ltd..	500000.00
Interest from June 2023 to December 2023 @ 1% p.m.	25000.00
Recovery Cost	1000.00
Total	**526000**

पश्चिमी
प्रादेशिक कार्यालय
Western Regional Office
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

पश्चिमी प्रादेशिक कार्यालय : सेबी भवन, पंचवटी पहली गली, गुलबाई टेकरा रोड, अहमदाबाद - 380 006. दूरभाष: (079) 26583633/34/35 फैक्स: 26583632 ई-मेल : sebiwro@sebi.gov.in
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1. And whereas the defaulter has failed to pay aforesaid amount in terms of the notice of demand dated **October 10, 2023**.

2. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
   i. All Demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
   ii. All funds/folios/schemes held by whatever name called of the defaulters, either singly or jointly with any other person/s held with you.

3. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

4. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice:
   a) Details all the Accounts held by the defaulter with you;
   b) Copy of the Account Statement/s;
   c) Confirmation of Attachment of the said account/s

5. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Ahmedabad this **11th Day of December, 2023.**

भारतीय प्रतिभूति और विनिमय बोर्ड पश्चिमी प्रादेशिक कार्यालय Western Regional Office Securities and Exchange Board of India

RECOVERY OFFICER

N. U. Raju
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad

Copy to:

नीलेश किशनभाई पंड्या 808/2 मारवाड़ी स्ट्रीट, हजाम गली, आज़ाद गली, मिर्ज़ापुर अहमदाबाद - 380001	Nilesh Kishanbhai Pandya 808/2 Marwadi Street, Hajam Gali, Azad Gali, Mirzapur Ahmedabad - 380001

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