Assistant General Manager
Indore Local Office

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

SEBI/WRO/ILO/AK/OW/P/10148 /1/2022
March 10, 2022

Capital Live Financial Advisory,
Proprietor: Shri Rajiv Upadhyay,
Street No. 02, Malviya Nagar,
Room No. 28,
Indore-452008,
Madhya Pradesh

Capital Live Financial Advisory
Proprietor: Shri Rajiv Upadhyay
202, Classic Centre
M.G. Road, Indore
Madhya Pradesh - 452001

Shri Rajiv Upadhyay
Proprietor: Capital Live Financial Advisory
S/O: Gulab Chandar Upadhyay
Gram – Gazadharpur, Tehsil- Dhanghata
Sant Kabir Nagar, Achhti, Banpur
Sant Kabir Nagar, Uttar Pradesh - 272177

Subject: Notice of personal hearing in the matter of Capital Live Financial Advisory, Proprietor: Shri Rajiv Upadhyay.

1. In regard to the captioned subject, it is informed that the opportunity of personal hearing before the Whole Time Member is scheduled on **May 17, 2022** at **2:30 P.M**. You may avail the opportunity of personal hearing through 'Cisco Webex Portal'.

2. The Login details to attend the personal hearing would be provided in due course. Alternatively, you may also avail of the opportunity by being present at the following venue:

   SEBI Indore Local Office
   104-105, Satguru Parinay
   Opposite C-21 Mall
   A.B. Road, Indore - 452010

3. In case you fail to appear before the Whole Time Member on the said date, it shall be presumed that you have nothing further to state in the matter, and the matter will be proceeded with on the basis of material available on record.

4. You may appear for the hearing personally or through your authorized representative. Please ensure that person(s) authorized for hearing bring original as well as photo copy of their Identity Card and the original Authorization letter along with PAN of the Noticee.

5. You are required to acknowledge the receipt of this notice and confirm the name(s) of official(s)/ representative(s) who will appear, with designation(s), for the hearing, at least three days before the hearing date, by way of a letter or e-mail at amitn@sebi.gov.in and aniketk@sebi.gov.in.

Yours faithfully,

Aniket Kumar.

Aniket Kumar