



General Manager & Recovery Officer
Recovery Division - III
Recovery and Refund Department
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भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Order Releasing Bank Accounts/lockers, Demat Accounts and Mutual Fund Folios
Attachment Proceeding No. 6488 & 6489 of 2021
Certificate No. 3279 of 2021

The Principal Officer/
Chairman & Managing Director/ CEO
All the Banks in India / All the Mutual Funds in India
NSDL/CDSL, Mumbai

Recovery Certificate No. 3279 dated February 16, 2021 has been drawn up by the Recovery Officer in the above proceedings pursuant to non-compliance of order of the Adjudicating Officer ("AO") dated July 31, 2020 for recovery of a total sum of Rs. 7,04,397/- (Rupees Seven Lakh Four Thousand Three Hundred and Ninety Seven Only) along with further interest, all costs, charges and expenses etc. against **Ashok Gupta (PAN: AAIPG7033R) ["Defaulter"]** and the same is due from him in respect of the said certificate.

2. Notices of Attachment dated March 18, 2021 in the above proceedings were issued attaching the Bank Accounts/lockers, Demat Accounts and Mutual Fund Folios of the Defaulter.

3. Hon'ble Securities Appellate Tribunal (SAT) vide order dated June 9, 2022 in Appeal No. 426 of 2020 filed in this matter, quashed the impugned AO order.

4. In view of the above, it is hereby directed to release the Bank Accounts/lockers, Demat Accounts and Mutual Fund Folios of the Defaulter attached, if any, pursuant to the above said notices of attachment.

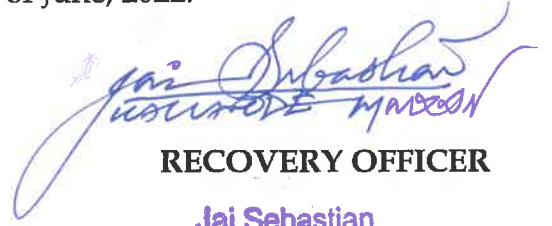
Given under my hand and seal at Mumbai on 29th day of June, 2022.

SEAL



Copy to:

Ashok Gupta (PAN: AAIPG7033R)
Gurukripa 6, Dixit Road,
Vile Parle East, Mumbai - 400057


RECOVERY OFFICER

Jai Sebastian
जय सेबास्टियन
General Manager & Recovery Officer
महाप्रबंधक एवं बसूली अधिकारी
Securities And Exchange Board of India
भारतीय प्रतिभूति और विनिमय बोर्ड
Mumbai
मुंबई