



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India
SEBI/NRO/OW/P/2023/42002/1

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/36
Email: recoverynro@sebi.gov.in

Attachment Proceeding No. 11097 & 11111 of 2023
Recovery Certificate No. 7022 of 2023

The Principal Officer / Chairman & Managing Director / CEO
All Banks and Mutual Funds in India

Remittance of attached amount under Attachment proceeding no. 11097 & 11111 of 2023

1. It may be recalled that the Recovery Officer vide the subject attachment proceedings in Recovery Certificate No. 7022 of 2023 dated July 31, 2023, had directed attachment of Bank accounts of **Bal Kishan Agarwal** [Defaulter] PAN: **AAMPA5838L** in the matter of **Dealings in Illiquid Stocks Options at the BSE** against the total due of **Rs. 5,96,000/-** (*Rupees Five Lakh Ninety-Six Thousand Only*) with further interest, all costs, charges and expenses, etc.
2. Whereas Notice of Demand dated **June 31, 2023** has been sent to Defaulter and copy of Notice of Attachment of Bank Account and Mutual Funds dated **September 06, 2023** has been issued to you.
3. Whereas the current liability/dues from the Defaulter as on date is an amount of **Rs. 6,11,000/-** (*Rupees Six Lakh Eleven Thousand Only*)
4. Accordingly, You are hereby directed to remit amount to the extent as mentioned in **Para 3** above lying in the account of the Defaulter(s) with your Bank, forthwith to SEBI by way of direct credit through **EFT/NEFT/RTGS to A/c No. SEBIRDPEN7022 of ICICI Bank, IFS code – ICIC0000106, by immediately** and intimate these remittance details by email to kshamac@sebi.gov.in / ishpreets@sebi.gov.in / recoverynro@sebi.gov.in in the format given below:

1. Case Name and Recovery Certificate Number :	
2. Name of Payee :	
3. Date of Payment:	
4. Amount Paid :	
5. Transaction No. :	
6. Bank Details from which payment is made :	

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards the dues

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“हम हिन्दी पत्राचार का स्वागत करते हैं।”





Continuation Sheet

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5. This direction is issued in exercise of powers conferred under **section 28A of the SEBI Act, 1992 as amended by the Securities Laws (Amendment) Act, 2014 r/w section 220 to 227, 228A, 229, 232, the Second and Third Schedules to the Income-tax Act 1961 (43 of 1961) and the Income-tax (Certificate Proceedings) Rules, 1962 of the Income-tax Rules.**

Given under my hand and seal at New Delhi this 11th day of October, 2023.

SEAL

Copy to:



Bal Kishan Agarwal
5875, Neel Wali Gali, Birhana Road,
Kanpur, Uttar Pradesh – 208001

RECOVERY OFFICER

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar
बसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरा प्रादेशिक कार्यालय / Northern Regional Office
नई दिल्ली / New Delhi