

**BEFORE THE APPELLATE AUTHORITY  
(Under the Right to Information Act, 2005)  
SECURITIES AND EXCHANGE BOARD OF INDIA**

**Appeal No. 5878 of 2024**

|                    |    |            |
|--------------------|----|------------|
| Girish Verma       | :  | Appellant  |
|                    | Vs |            |
| CPIO, SEBI, Mumbai | :  | Respondent |

**ORDER**

1. The appellant had filed an application dated August 14, 2023 (received by SEBI on October 16, 2023) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated November 10, 2023, responded to the application filed by the appellant. The appellant filed an appeal dated October 09, 2023 (received by the Office of Appellate Authority on December 27, 2023). I have perused the application, the response of the respondent and the appeal and find that the matter can be decided based on the material available on record.
2. **Request in the application-** The appellant, in his application dated August 14, 2023, *inter alia*, sought information in reference to his letter dated June 30, 2023 addressed to Enforcement Directorate (hereinafter referred to as “**ED**”) and some email sent by him. Further, the appellant sought information regarding action taken for his dividend amount payment and status of shares of Castrol India Ltd. pertaining to him based on a written agreement with Castrol India Ltd.
3. **Reply of the Respondent** - The respondent, in response to the application informed the appellant as follows:  
  
*“You have addressed the letter to Enforcement Directorate (ED). Further, the email reference given by you is not specific and clear. You have also not specified whether the complaint was lodged on SCORES as no complaint number is given by you. Hence, your queries are not clear and specific and are in the nature of seeking action. Accordingly, the same cannot be construed as “Information” as defined u/s 2(f) of the RTI Act, 2005.”*
4. I note that the appellant has filed the appeal dated October 09, 2023 even before the receipt of reply dated November 10, 2023 of the respondent. Further, I note that the reply of the respondent has been delivered to the appellant on November 17, 2023. I therefore find that nothing survives in the appeal with regard to his RTI request pertaining to seeking information regarding and the same has

become infructuous. It is however, open for the appellant to file a fresh appeal as per law, if he is not satisfied with the reply provided by the respondent. Notwithstanding the above, I note that the appellant, in his application, has sought information in reference to his letter dated June 30, 2023 sent to ED and certain email sent by him, which also appears to have been sent to ED. In my opinion, the said part of the application pertains to ED and hence, the same should be forwarded to ED for necessary action.

5. I view of the observations above, w.r.t. request pertaining to appellant's letter dated June 30, 2023 and email sent by him as mentioned in the preceding paragraph, I hereby direct the respondent to forward the said application to the concerned public authority and intimate the appellant regarding the same, within 5 working days from the date of receipt of this order.
6. The Appeal is accordingly disposed of.

**Place: Mumbai**  
**Date: January 16, 2024**

**B RAJENDRAN**  
**APPELLATE AUTHORITY UNDER THE RTI ACT**  
**SECURITIES AND EXCHANGE BOARD OF INDIA**