

**SECURITIES AND EXCHANGE BOARD OF INDIA
WESTERN REGIONAL OFFICE**

SEBI Bhavan, Panchvati 1st Lane, Gulbai Tekra Road, Ahmedabad-380006

CERTIFICATE No.RC4807/2022

Certificate under section 28A of the Securities and Exchange Board of India Act,
1992 read with section 222 of the Income Tax Act, 1961

S. No.	Name of the defaulters and Address	नाम और पता
1	Option One Industries Ltd. (PAN: Not Available) (CIN : U27100MP2012PLC027910) Address: 299A, Second Floor, Orbit Mall, 305-306, PU-4, Scheme No. 54, A.B. Road, Indore – 452001, Madhya Pradesh	ऑप्शन वन इंडस्ट्रीज लिमिटेड (पैन: उपलब्ध नहीं) (सीआईएन: U27100MP2012PLC027910) पता: २९९ए, दूसरी मंजिल, ऑर्बिट मॉल, ३०५-३०६, पीयू-४, योजना संख्या ५४, ए.बी. सड़क, इंदौर - ४५२००१, मध्य प्रदेश.
2	Shri Vishal Masih (PAN: ALRPM9497P) (DIN: 05182190) Address: 7, Shastri Colony, Nai Abadi, Mandsaur – 487 001	श्री विशाल मसीह (पैन :ALRPM9497P) (डीआईएन :०५१८२१९०) पता :७, शास्त्री कॉलोनी, नई आबादी, मंदसौर – ४८७००१
3	Shri Anuj Jain (PAN : ALIPJ5869M) (DIN : 03556982) Address:- 71, Lalaram Nagar, Indore – 452001, Madhya Pradesh	श्री अनुज जैन (पैन :ALIPJ5869M) (डीआईएन :०३५५६९८२) पता :- ७१, लालाराम नगर, इंदौर - ४५२००१, मध्य प्रदेश
4	Shri Rohit Singhai (PAN: CCDPS1488R) (DIN: 05182207) Address:- 1242, Hanuman Tal Bariya Jain Mandir, Jabalpur – 482002, Madhya Pradesh	श्री रोहित सिंघई (पैन :CCDPS1488R) (डीआईएन :०५१८२२०७) पता :-१२४२, हनुमान ताल बरिया जैन मंदिर, जबलपुर - ४८२००२, मध्य प्रदेश
5	Shri. Sameer Agarwal (PAN: ADXPA3381N) Address 1:- Flat No. 502, Blossam Co-operative Housing Society, Plot No. 11, Sector 3, Ghansoli, Navi Mumbai – 400701 Address 2:- CL-34, Pandit Dindayal, Upadhyay Nagar, Shukliya Land Mark, Dr. Mehta wali Gali, Indore - 452001	श्री. समीर अग्रवाल (पैन : ADXPA3381N) पता :-1 फ्लैट नंबर ५०२, ब्लॉसम को-ऑपरेटिव हाउसिंग सोसाइटी, प्लॉट नंबर ११, सेक्टर ३, घनसोली, नवी मुंबई – ४००७०१ पता :-2 सीएल-३४, पंडित दीनदयाल, उपाध्याय नगर, शुक्लिया लैंड मार्क, डॉ. मेहता वाली गली, इंदौर – ४५२००१



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6	Option One Trade and Mercantile Pvt. Ltd. (PAN : AABCO6415H) (CIN: U51909WB2012PTC175133) Address 1 :- Office No. 299-B, 2nd Floor, Orbit Mall, 305-306 P.U. -4, Scheme No.54, A.B. Road, Near Vijay Nagar Indore, Madhya Pradesh – 452010 Address 2:- Village – Domju, 2nd Floor, Kacharibari, PO-Domjur, Dist. Howrah, West Bengal – 711405 Address 3:- 406, Rantan Square, 4th Floor 20-A, Vidhansabha Marg, Lucknow, Uttar Pradesh – 226001 Address 4:- GK Servicing, P494-A, Near Advance Medicare, Resear Keyatala Road, Kolkata, West Bengal - 700029	ऑप्शन वन ट्रेड एंड मर्केंटाइल प्रा। लिमिटेड (पैन :AABCO6415H) (सीआईएन :U51909WB2012PTC175133) पता :- 1 कार्यालय संख्या २९९-बी, दूसरी मंजिल, ऑर्बिट मॉल, ३०५-३०६ पी.यू.- ४, योजना संख्या ५४, ए.बी .सड़क, विजय नगर इंदौर के पास, मध्य प्रदेश – ४५२०१० पता - : 2 गांव - दोमजू, दूसरी मंजिल, कचहरीबारी, पीओ-दोमजुर, जिला. होवरह, पश्चिम बंगाल – ७११४०५ पता -:3 ४०६, रेंटन स्क्वायर, चौथी मंजिल २०-ए, विधानसभा मार्ग, लखनऊ, उत्तर प्रदेश – २२६००१ पता -:4 जीके सर्विसिंग, पी ४९४-ए, एडवांस मेडिकेयर के पास, अनुसंधान कीताला रोड, कोलकाता, पश्चिम बंगाल – ७०००२९
7	Shri Rajendra Agarwal (PAN: AESPA2305N) (DIN: 05224419) Address:- Flat No. A 901, Bhagwati Complex, Plot no. 1, sector 9, Ghansoli, Navi Mumbai - 400701	श्री राजेंद्र अग्रवाल (पैन :AESPA2305N) (डीआईएन :०५२२४४१९) पता :-फ्लैट नं .ए ९०१, भगवती कॉम्प्लेक्स, प्लॉट नं. १, सेक्टर ९, घनसोली, नवी मुंबई – ४००७०१
8	Shri Vivek Chaurasia (PAN: AHVPC3063F) (DIN: 05183348) Address:- 399/3, Civil Line, PS Nabavad, Jhansi – 284 001, Uttar Pradesh	श्री विवेक चौरसिया (पैन :AHVPC3063F) (डीआईएन :०५१८३३४८) पता :-३९९/३, सिविल लाइन, पीएस नबावाद, झांसी - २८४००१, उत्तर प्रदेश।
9	Shri Vinod Kumar Shrivastava (PAN: CMCP8260C) (DIN: 05191463) Address :- 2/464 Jankipuram, sector-H, Lucknow – 226 021, Uttar Pradesh	श्री विनोद कुमार श्रीवास्तव (पैन :CMCP8260C) (डीआईएन :०५१९१४६३) पता :- २/४६४ जानकीपुरम, सेक्टर-एच, लखनऊ - २२६ ०२१, उत्तर प्रदेश



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NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 / SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 / SECTION 19-IB OF THE DEPOSITORIES ACT, 1996

1. This is to certify that a sum of **Rs. 254,42,08,473/- (Rupees Two Hundred Fifty Four Crore Forty Two Lakh Eight Thousand Four Hundred Seventy Three only) (Partial Amount) Jointly and Severally** along with returns due to investors, further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below is due to SEBI from you, for the money raised by them during the **FINANCIAL YEAR 2012-2013 and 2013-2014**, as shown below: –

Description of Dues	Amount (Rs.)
Order no. WTM/MPB/EFD-1-DRA-IV/159/2018 dated 28/03/2018 in the matter of Option One Industries Ltd.	1,01,85,20,129
Interest from 06/06/2012 to 30/05/2022 @ 15% p.a.	1,52,56,87,344
Recovery Cost	1,000
Total	2,54,42,08,473

2. You are hereby directed to pay the total amount as mentioned above within 15 (Fifteen) days of the receipt of this Notice (**DD shall be drawn in favour of the “SEBI Recovery Proceeds” Account payable at Mumbai (or) EFT/NEFT/RTGS to A/c No. SEBIRRDICIS4807 of ICICI Bank, IFSC code – ICIC0000106**) failing which the Recovery Officer shall proceed to recover the amount due in accordance with the provisions of **section 28A of the Securities and Exchange Board of India Act, 1992 (“SEBI Act”)/ section 23JB of the Securities Contracts (Regulation) Act, 1956 (“SCRA”)/ section 19-IB of the Depositories Act, 1996 (“Depositories Act”)** (whichever applicable) read with sections 220 to 227, 228A, 229 and 232 of the Income-tax Act, 1961 and the Second Schedule to the said Act and the rules made thereunder.
3. In the event of non-payment of the dues as above, SEBI shall recover the money by one or more of the following modes, namely:-
- (a) Attachment and sale of your movable property;
 - (b) Attachment of your bank accounts;
 - (c) Attachment and sale of your immovable property;
 - (d) Arrest and detention in prison;
 - (e) Appointing a receiver for the management of your movable and immovable properties.



4. Further, as per Explanation 1 to section 28A of the SEBI Act/ section 23JB of the SCRA/ section 19-IB of the Depositories Act (whichever applicable), any direct or indirect transfer of your property or monies held in bank accounts to your spouse or minor child or son's wife or son's minor child, otherwise than for adequate consideration, on or after 28/03/2018 (date of SEBI order) shall be deemed to be your property or money for the purpose of recovery.
5. You are also advised to take note that upon service of this notice, you are not competent to mortgage, charge, lease or otherwise deal with any property belonging to you except with the permission of the Recovery Officer and any such transfer shall be deemed void as per rule 16 of the Second Schedule to the Income-tax Act, 1961 read with section 28A of the SEBI Act.
6. Any confirmation of e-payment(s) made (in the format as given in table below) should be forwarded to "The Recovery Officer, SEBI Bhavan, Panchvati 1st Lane, Gulbai Tekra Road, Ahmedabad-380006 or sent by email to recoverywro@sebi.gov.in, rajunu@sebi.gov.in and kshitijs@sebi.gov.in

Case Name and Recovery Certificate Number:	
Name of Payer:	
Date of Payment:	
Amount Paid:	
Transaction No.:	
Bank Details (Bank Name, Branch Name, IFSC Code and Account No.) from which payment is made:	

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards your dues.

7. In addition to the aforesaid dues, you will also be liable for further interest, all costs, charges and expenses incurred in respect of recovery proceedings against you.

Dated: May 30, 2022



Recovery officer

N. U. Raju
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad