



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Notice of Attachment of Demat Account and Mutual Fund Folio(s)

Attachment Proceeding No. 7611 of 2021
Certificate No. 4134 of 2021

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400013

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

The Principal Officer /Chairman & Managing Director / CEO
All the Mutual Funds in India.

1. Whereas a **Recovery Certificate No. 4134 of 2021 dated 07.09.2021** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.33,08,71,707/- (Rupees Thirty Three Crore Eight Lakh Seventy One Thousand Seven Hundred and Seven only)** as detailed below along with interest/ costs/ charges/ expenses etc. against **Arohan Trustee Company Private Limited (PAN : AAFC7143Q) ["Defaulter"]** and the same is due from the defaulter in respect of the said certificate. A Notice of Demand dated **07.09.2021** has been issued to **Defaulter**.

Description of Dues	Amount (Rs.)
Recovery pursuant to non-compliance of WTM Order No. WTM/AB/EFD1/EFD1-DRA3/7492/2020-21 dated April 13, 2020 in the matter of Arohan Trustee Company Limited (Including 10% interest as mentioned in WTM Order)	Rs.28,32,00,000/-
Interest @ 12% p.a. from 13/04/2020 to 07/09/2021	Rs.4,76,70,707/-
Recovery cost	Rs.1,000/-
Total	Rs.33,08,71,707/- + Further Interest till Actual date of Payment

2. Whereas no amount has been paid by the Defaulter and there is sufficient reason to believe that the Defaulter may dispose of the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

...2/-



सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 www.sebi.gov.in



अनुवर्ती :
Continuation :

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Securities and Exchange
Board of India

A.P. No. 7611 of 2021

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
- All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
 - All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
4. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
- Details of all the Accounts/folios held by the Defaulter with you;
 - Copy of the Account Statement/s; and
 - Confirmation of Attachment of the said accounts/folios.
6. If the Defaulter is not having any type of account / folio with your bank /not having any balance in the account of the Defaulter, the same shall be also informed on the email: jaip@sebi.gov.in / kundanr@sebi.gov.in
7. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961

Given under my hand and seal at Mumbai on 28th Day of October, 2021.



SEAL

Copy to:

Arohan Trustee Company Private Limited
905, Rajendra Ratna, Mahesh Nagar,
S.V. Road, Goregaon West,
Mumbai – 400062
Maharashtra


28/10/2021

RECOVERY OFFICER

जय प्रकाश
Jai Parkash
वसूली अधिकारी
Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
मुंबई
Mumbai

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid account)