



भारतीय प्रतिभूति  
और विनिमय बोर्ड  
**Securities and Exchange  
Board of India**

Western Regional Office  
Recovery Division  
[recoverywro@sebi.gov.in](mailto:recoverywro@sebi.gov.in)

## Notice of Attachment of Demat Account

Attachment Proceeding No. 9995/2023  
Certificate No. RC4804/2022

**M/s. National Securities Depository Ltd.**  
4th floor, 'A', Wing, Trade World  
Kamala Mills Compound  
Senapati Bapat Marg  
Lower Parel, Mumbai – 400 013.

**M/s. Central Depository Services (I) Ltd.**  
P J Towers, 17th floor  
Dalal Street  
Fort, Mumbai – 400001

**The Principal Officer / Chairman & Managing Director / CEO**  
**All the Mutual Funds in India.**

Whereas a **Recovery Certificate No. RC4804/2022 dated May 30, 2022** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.5,33,877/- (Rupees Five Lakh Thirty Three Thousand Eight Hundred Seventy Seven Only)** along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum etc. as detailed given below against **Shweta Doshi (PAN: AGFPD8031K)** and the same is due from her in respect of the said certificate. A **Notice of Demand dated May 30, 2022** has been issued to the said defaulter.

| Description of Dues                                                                                                                                               | Amount (Rs.)    |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| Penalty imposed by the Adjudicating Officer vide order no. Order/SD/KS/2021-22/14167 dated 11/11/2021 in the matter of Dealings in illiquid stock options at BSE. | 5,00,000        |
| Interest from 11/11/2021 to 30/05/2022 @ 12% p.a.                                                                                                                 | 32,877          |
| Recovery Cost                                                                                                                                                     | 1,000           |
| <b>Total</b>                                                                                                                                                      | <b>5,33,877</b> |





अनुवर्ती:  
Continuation :

भारतीय प्रतिभूति  
और विनिमय बोर्ड  
**Securities and Exchange  
Board of India**

1. And whereas the defaulter has failed to pay aforesaid amount in terms of the notice of demand dated **May 30, 2022**.
2. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
  - i. All Demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
  - ii. All funds/folios/schemes held by whatever name called of the defaulters, either singly or jointly with any other person/s held with you.
3. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
4. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice:
  - a) Details all the Accounts held by the defaulter with you;
  - b) Copy of the Account Statement/s;
  - c) Confirmation of Attachment of the said account/s
5. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Ahmedabad this **20<sup>th</sup> Day of April, 2023**.

SEAL



Copy to:

|                                                       |                                                             |
|-------------------------------------------------------|-------------------------------------------------------------|
| Shweta Doshi                                          | श्वेता दोशी                                                 |
| B-801, Milano Hights BPIPLOD, Surat, Gujarat – 395007 | बी -८०१, मिलानो हाइट्स बीपीआईपीएलओडी, सूरत, गुजरात – ३९५००७ |

**RECOVERY OFFICER**

**N. U. Raju**  
Recovery Officer & Dy. General Manager  
Securities and Exchange Board of India  
Ahmedabad

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)