



भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

Cancellation of Recovery Certificate No. 6632 of 2023 dated June 01, 2023 issued against Surabhi Mittal [Defaulter] PAN: AKMPM1456C in the matter of Illiquid Stock Options at BSE Limited.

1. Recovery Certificate No. 6632 of 2023 dated June 01, 2023 was drawn against Surabhi Mittal [Defaulter] PAN: AKMPM1456C for sum of Rs. 6,06,000/- (*Rupees Six Lakh Six Thousand*) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, for non-payment of penalty imposed by Adjudication Officer vide Order dated Order/RJ/VS/2021-22/13846 dated October 22, 2021 in the matter of Illiquid Stock Options at BSE Limited.
2. The defaulter has availed the benefit of the SEBI Settlement Scheme, 2022 and the matter was settled vide Settlement Order No. SO/AB/EFD2/2022-23/7162 dated March 08, 2023 read with corrigender dated June 05, 2023
3. Since the defaulter has availed the SEBI Settlement Scheme, 2022 and in exercise of powers under sub-section (1) of section 28A of the Securities and Exchange Board of India Act, 1992 read with section 224 and section 225 of the Income-tax Act, 1961 and Rule 12 of the Second Schedule framed therein, the Recovery Certificate No. 6632 of 2023 dated June 01, 2023 issued against Surabhi Mittal [Defaulter] PAN: AKMPM1456C hereby stands cancelled.

10.07.2023
New Delhi



Rajeev Rastogi
RAJEEV RASTOGI
RECOVERY OFFICER

राजीव रस्तोगी / RAJEEV RASTOGI
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
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