



General Manager & Recovery Officer
Recovery Division - III
Recovery and Refund Department
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भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India

Order Releasing Bank Accounts/lockers, Demat Accounts and Mutual Fund Folios
Attachment Proceeding No. 6608 & 6609 of 2021
Certificate No. 3435 of 2021

The Principal Officer/
Chairman & Managing Director/ CEO
All the Banks in India / All the Mutual Funds in India
NSDL/CDSL, Mumbai

Recovery Certificate No. 3435 dated April 7, 2021 has been drawn up by the Recovery Officer in the above proceedings pursuant to non-compliance of order of the Adjudicating Officer ("AO") dated July 31, 2020 for recovery of a total sum of Rs. 2,82,370/- (Rupees Two Lakh Eighty Two Thousand Three Hundred and Seventy Only) along with further interest, all costs, charges and expenses etc. against **Ashok Gupta HUF** (PAN: AHCPG2531K) ["Defaulter"] and the same is due from it in respect of the said certificate.

2. Notices of Attachment dated April 22, 2021 in the above proceedings were issued attaching the Bank Accounts/lockers, Demat Accounts and Mutual Fund Folios of the Defaulter.

3. Hon'ble Securities Appellate Tribunal (SAT) vide order dated June 9, 2022 in Appeal No. 426 of 2020 filed in this matter, quashed the impugned AO order.

4. In view of the above, it is hereby directed to release the Bank Accounts/lockers, Demat Accounts and Mutual Fund Folios of the Defaulter attached, if any, pursuant to the above said notices of attachment.

Given under my hand and seal at Mumbai on 29th day of June, 2022.

SEAL



Copy to:

Ashok Gupta HUF (PAN: AAAHA4357A)
Gurukripa 6, Dixit Road,
Vile Parle East, Mumbai - 400057


RECOVERY OFFICER

Jai Sebastian
जय सेबास्टियन
General Manager & Recovery Officer
महाप्रबंधक एवं वसूली अधिकारी
Securities And Exchange Board of India
भारतीय प्रतिभूति और विनियम बोर्ड
Mumbai
मुंबई