CERTIFICATE No. 8688 of 2025

Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the Income-tax Act, 1961

Preview Vinimay Private Limited (PAN: AAFCP5386A)

Office No. F-114, Floor No. 1, Nahar & Seth Industrial Estate, Chakala Road, Andheri (East), Mumbai-400099
F-25, Dream The Malls, LBC Marg, Bhandup (West), Mumbai-400078
3, Saklat Place, Kolkata- 700072, West Bengal

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NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992

This is to certify that a sum of Rs. 5,31,000/- (Rupees Five Lakh and Thirty One Thousand Only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below is due to SEBI from you:

Description of Dues	**Amount (in Rupees)**
Penalty imposed by the Adjudicating Officer vide Order No. Order/BM/GN/2024-25/30872 dated October 17, 2024 in the matter of Trading in Illiquid Stock Options on BSE	5,00,000
Interest from October 17, 2024 to March 27, 2025 @ 1% per month	30,000
Recovery Cost	1,000
Total	**5,31,000**

2. You are hereby directed to pay the total amount as mentioned above within 15 (Fifteen) days of the receipt of this Notice through **EFT/NEFT/RTGS to "SEBI Recovery Proceeds" Account A/c No. SEBIRRDPEN8688 of ICICI Bank, IFSC code – ICIC0000106 (OR) online payment facility available on the "Recovery Payment" module on the website: https://siportal.sebi.gov.in (OR) payment link available on the following path: SEBI Website Enforcement Recovery Proceedings Pay Now,** failing which the Recovery Officer shall proceed to recover the amount due in accordance

with the provisions of **section 28A of the Securities and Exchange Board of India Act, 1992 ("SEBI Act")** read with sections 220 to 227, 228A, 229 and 232 of the Income-tax Act, 1961 and the Second Schedule to the said Act and the rules made thereunder.

3. In the event of non-payment of the dues as above, SEBI shall recover the money by one or more of the following modes, namely: -

(a) attachment and sale of your movable property;
(b) attachment of your bank accounts;
(c) attachment and sale of your immovable property;
(d) arrest and detention in prison;
(e) appointing a receiver for the management of your movable and immovable properties.

4. You are also advised to take note that upon service of this notice, you are not competent to mortgage, charge, lease or otherwise deal with any property belonging to you except with the permission of the Recovery Officer and any such transfer shall be deemed void as per rule 16 of the Second Schedule to the Income-tax Act, 1961 read with section 28A of the SEBI Act.

5. Any confirmation of e-payment(s) made (in the format as given in table below) should be forwarded to *"The Recovery Officer, Recovery Division-2, SEBI BHAVAN II, Plot No. C-7, 'G' Block, Bandra Kurla Complex, Bandra (East) MUMBAI-400 051"* or sent by email to *naveenm@sebi.gov.in* and *pankajs@sebi.gov.in*.

Case Name and Recovery Certificate Number:	
Name of Payer:	
Date of Payment:	
Amount Paid:	
Transaction No.:	
Bank Details (Bank Name, Branch Name, IFSC Code and Account No.) from which payment is made:	

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards your dues.

6. In addition to the aforesaid dues, you will also be liable for further interest, all costs, charges and expenses incurred in respect of recovery proceedings against you.

वसूली प्रभाग
Recovery Division
Securities and Exchange Board of India

Dated: March 27, 2025

Recovery Officer

पंकज युवराज शिंदे
PANKAJ YUVARAJ SHINDE
उप. महाप्रबंधक और वसूली अधिकारी
Dy. General Manager & Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
मुंबई / Mumbai

Copy to:

Depositories and All Mutual Funds in India (by e-mail), with a request to provide the holding details of **Preview Vinimay Private Limited (PAN: AAFCP5386A)** immediately by return e-mail and intimate to the Recovery Officer in case any sale or redemption request received from the defaulter after the date of this Notice of Demand.