



भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

CERTIFICATE No. 6649 of 2023

Certificate under section 28A of the Securities and Exchange Board of India Act, 1992  
read with section 222 of the Income Tax Act, 1961

**Rohit Sadanand Mishra**  
Om Tower, Block No 209, A Wing,  
Waldhuni, Kalyan East,  
Thane, Maharashtra – 421301

**PAN: BKKPM5863Q**

**NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-  
TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE  
BOARD OF INDIA ACT, 1992**

1. This is to certify that a sum of Rs.1,08,000/- (One Lakh Eight Thousand Only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below is due to SEBI from you:

| Description of Dues                                                                                                                                       | Amount<br>(in Rupees) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|
| Penalty imposed by the Adjudicating Officer vide Order No. Order/SM/HG/2022-23/22230-22233 dated 19.12.2022 in the matter of Shreekrishna Biotech Limited | 1,00,000/-            |
| Interest from December 2022 to June 2023 @ 1% p.m.                                                                                                        | 7,000/-               |
| Recovery cost                                                                                                                                             | 1,000/-               |
| <b>Total</b>                                                                                                                                              | <b>1,08,000/-</b>     |

2. You are hereby directed to pay the total amount as mentioned above within 15 (Fifteen) days of the receipt of this Notice (EFT/NEFT/RTGS to A/c No. SEBIRDPEN6649 of ICICI Bank, IFSC code – ICIC0000106) (OR) online payment facility available on the “Recovery Payment” module on the website: <https://siportal.sebi.gov.in> (OR) payment link available on the following path: SEBI Website → Enforcement → Recovery Proceedings → Pay Now) failing which the Recovery Officer shall proceed to recover the amount due in accordance with the provisions of section 28A of the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) read with sections 220 to 227, 228A, 229 and 232 of the Income-tax Act, 1961 and the Second Schedule to the said Act and the rules made thereunder.
3. In the event of non-payment of the dues as above, SEBI shall recover the money by one or more of the following modes, namely:-
- a) attachment and sale of your movable property;



Contd..2.

सेबी भवन, प्लॉट सं. सी 4-ए, “जी” ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.  
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : [www.sebi.gov.in](http://www.sebi.gov.in)



अनुवर्ती :  
Continuation :

..2..

भारतीय प्रतिभूति  
और विनियम बोर्ड  
Securities and Exchange  
Board of India

- b) attachment of your bank accounts;
- c) attachment and sale of your immovable property;
- d) arrest and detention in prison;
- e) appointing a receiver for the management of your movable and immovable properties.

4. Further, as per Explanation 1 to section 28A of the SEBI Act, any direct or indirect transfer of your property or monies held in bank accounts to your spouse or minor child or son's wife or son's minor child, otherwise than for adequate consideration, on or after 19.12.2022, shall be deemed to be your property or money for the purpose of recovery.
5. You are also advised to take note that upon service of this notice, you are not competent to mortgage, charge, lease or otherwise deal with any property belonging to you except with the permission of the Recovery Officer and any such transfer shall be deemed void as per rule 16 of the Second Schedule to the Income-tax Act, 1961 read with section 28A of the SEBI Act.
6. Any confirmation of e-payment(s) made (in the format as given in table below) should be forwarded to "The Recovery Officer, Securities and Exchange Board of India, SEBI Bhavan, Plot No. C – 4 A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051." or sent by email to [jaip@sebi.gov.in](mailto:jaip@sebi.gov.in)/ [kapilsankhla@sebi.gov.in](mailto:kapilsankhla@sebi.gov.in)

|                                                                                              |  |
|----------------------------------------------------------------------------------------------|--|
| Case Name and Recovery Certificate Number:                                                   |  |
| Name of Payer:                                                                               |  |
| Date of Payment:                                                                             |  |
| Amount Paid:                                                                                 |  |
| Transaction No.:                                                                             |  |
| Bank Details (Bank Name, Branch Name, IFSC Code and Account No.) from which payment is made: |  |

*Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards your dues.*

7. In addition to the aforesaid dues, you will also be liable for further interest, all costs, charges and expenses incurred in respect of recovery proceedings against you.

Dated: 09.06.2023



  
09.06.2023  
Recovery Officer

जय प्रकाश  
Jai Parkash  
वसूली अधिकारी  
Recovery Officer  
भारतीय प्रतिभूति और विनियम बोर्ड  
Securities and Exchange Board of India  
मुंबई  
Mumbai