



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

SEBI/NRO/OW/P/2023/40885/1

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/36, recoverynro@sebi.gov.in

Attachment Proceeding No. 11098 & 11099 of 2023
Recovery Certificate No. 7047 of 2023

The Principal Officer / Chairman & Managing Director / CEO
All Banks and Mutual Funds in India

Remittance of attached amount under Attachment proceeding no. 11098 & 11099 of 2023

1. It may be recalled that the Recovery Officer vide the subject attachment proceedings in Recovery Certificate No. **7047 of 2023** dated **August 09, 2023**, had directed attachment of Bank accounts of **Shilpa Chhabra** [Defaulter] PAN: **AHOPC3204F** in the matter of **dealings in Illiquid Stock Options at BSE** against the total due of **Rs. 5,21,000/- (Rupees Five Lakh Twenty One Thousand Only)** along with further interest, all costs, charges and expenses, etc.
2. Whereas Notice of Demand **7047 of 2023** dated **August 09, 2023** has been sent to Defaulter and Notice of Attachment of Bank Account and Mutual Funds **11098 & 11099 of 2023** dated **September 01, 2023** has been issued to you.
3. Whereas the current liability/dues from the Defaulter as on date is an amount of **Rs. 5,31,000/- (Rupees Five Lakh Thirty One Thousand Only)**.
4. Accordingly, you are hereby directed to remit the amount to the extent of dues mentioned in para 3 above lying in the account of the Defaulter with your Bank / redeem the units in the folios held by the Defaulter with your Mutual Fund to the extent of dues mentioned in para 3 above lying in the account of the Defaulter(s) with your Bank, forthwith to SEBI by way of direct credit through **EFT/NEFT/RTGS to A/c No. SEBIRDPEN7047 of ICICI Bank, IFSC code – ICIC0000106)** immediately and intimate the remittance details by email to vinayak@sebi.gov.in, kshamac@sebi.gov.in and recoverynro@sebi.gov.in in the format given below:

1. Case Name and Recovery Certificate Number :	
2. Name of Payee :	
3. Date of Payment:	
4. Amount Paid :	
5. Transaction No. :	
6. Bank Details from which payment is made :	

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards the dues

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“हम हिन्दी पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंजिल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवाई नगर, नई दिल्ली- 110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000

Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Web : www.sebi.gov.in





Continuation Sheet

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5. This direction is issued in exercise of powers conferred under section 28A of the SEBI Act, 1992 as amended by the Securities Laws (Amendment) Act, 2014 r/w section 220 to 227, 228A, 229, 232, the Second and Third Schedules to the Income-tax Act 1961 (43 of 1961) and the Income-tax (Certificate Proceedings) Rules, 1962 of the Income-tax Rules.

Given under my hand and seal at New Delhi this 03rd day of October, 2023.

SEAL

Copy to:



Shilpa Chhabra
118154, Kaushal Puri,
Kanpur, Uttar Pradesh-208012


RECOVERY OFFICER

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरा प्रादेशिक कार्यालय / Northern Regional Office
नई दिल्ली / New Delhi