

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 5334 of 2022

Sagar	:	Appellant
	Vs	
CPIO, SEBI, Mumbai	:	Respondent

ORDER

1. The appellant had filed an application dated May 12, 2022 (received by the respondent through RTI MIS Portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated May 26, 2022, responded to the application filed by the appellant. The appellant filed an appeal (Reg. No. SEBIH/A/E/22/00467) dated July 28, 2022. I have carefully considered the application, the response and the appeal and find that the matter can be decided based on the material available on record.

2. **Queries in the application** –The appellant, vide his application dated May 12, 2022, sought the following information:

“The appellant have paid Rs 25,000 for stock market and He given training purpose app V master app in this app is no real money trade Clearly mention below in app No real involved this is a virtual application which has all the features to trade This application is used for exchanging views on markets for individual students for training purposes only. The persons name is Deep gill pay rs25,000 to google pay. So I request you I paid Rs 25,000 Please get back me soon as possible”

3. The respondent, in response to the application, informed that the information sought by the appellant is in the nature of grievance. The respondent also informed that taking action or resolution of grievance does not come under the provisions of RTI Act. Therefore, the queries do not qualify as “information” under provisions of section 2(f) of the RTI Act, 2005. The respondent also provided information regarding lodging of grievance on the SCORES portal of SEBI and tracking the status of the same. Further, the respondent provided the toll free helpline numbers launched by SEBI to facilitate replies to various queries of the general public on matters related to securities market. Further, the appellant was advised to refer to the FAQs on “Investor Grievances- SCORES”, available on the SEBI website. The respondent also provided the link for accessing the said FAQs.

4. **Ground of appeal-** The appellant has not mentioned any specific ground for filing the appeal. The appellant, in his appeal, *inter alia*, requested SEBI to get back the money paid by him.
5. I have perused the application and the response provided thereto. On consideration, I find that the application is in the nature of grievance. In my opinion, if the appellant has any grievance, he may approach the appropriate grievance redressal forum. I note that redressal of grievance does not fall within the ambit of the RTI Act. Notwithstanding the same, I find that the respondent has appropriately guided the appellant to refer to the SCORES website for lodging his grievance, if any, and also provided helpline service numbers launched by SEBI, to facilitate replies to various queries of the general public on matters relating to securities market. Accordingly, I do not find any deficiency in the response provided by the respondent.
6. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The Appeal is accordingly dismissed.

Place: Mumbai

Date: August 26, 2022

ANAND BAIWAR

**APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA**