भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

Enforcement Department
Recovery Division

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 954 of 2014
Certificate No. 255 of 2014

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

1. Whereas a Recovery **Certificate No.255 of 2014 dated 14.07.2014** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.796068/- (Rupees Seven Lakh Ninety Six thousand Sixty Eight Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **M/s DPS Shares & Securities Pvt. Ltd. ["Defaulter"] PAN. AABCD1053A** and the same is due from him in respect of the said certificate. A Notice of Demand **dated 14.07.2014** has been issued to **M/s DPS Shares & Securities Pvt. Ltd..**

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. SD/AO/83/2009 dated 14.08.2009 in the matter of Zigma Software Ltd..	500000
Interest from 14.08.2009 to 14.07.2014 @ 12% p.a.	295068
Recovery cost	1000
Total	796068

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

   i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and

   ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

14/7/14

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A .P. No.954 of 2014

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

   a) Details all the Accounts including Lockers held by the defaulter with your Bank;
   b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
   c) Confirmation of Attachment of the said account/s; and
   d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recovery@sebi.gov.in.

7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 14th day of July, 2014.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA ★ RECOVERY DIVISION

D.V. Sekhar

RECOVERY OFFICER

D.V.Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

M/s DPS Shares & Securities Pvt. Ltd.
(PAN:AABCD1053A)
428, Rawal Building No.1,.
1st floor, Lamington Road,
Mumbai – 400004.

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Enforcement Department
Recovery Division

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 955 of 2014
Certificate No.255 of 2014

M/s. National Securities Depository Limited
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

1. Whereas a Recovery **Certificate No.255 of 2014 dated 14.07.2014** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.796068/- (Rupees Seven Lakh Ninety Six thousand Sixty Eight Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **M/s DPS Shares & Securities Pvt. Ltd. ["Defaulter"] PAN. AABCD1053A** and the same is due from him in respect of the said certificate. A Notice of Demand **dated 14.07.2014** has been issued to **M/s DPS Shares & Securities Pvt. Ltd..**

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. SD/AO/83/2009 dated 14.08.2009 in the matter of Zigma Software Ltd..	500000
Interest from 14.08.2009 to 14.07.2014 @ 12% p.a.	295068
Recovery cost	1000
Total	796068

2. And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :

   i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

14/7/14

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भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

A .P. No.955 of 2014

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.

   a) Details of all the Accounts held by the defaulter with you;
   b) Copy of the Account Statement/s; and
   c) Confirmation of Attachment of the said account/s

6. This Notice of attachment is issued in exercise of powers conferred under section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Mumbai this 14th day of July, 2014.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA ★ RECOVERY DIVISION

D.V.Sekhar

RECOVERY OFFICER
D.V.Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

M/s DPS Shares & Securities Pvt. Ltd.
(PAN:AABCD1053A)
428, Rawal Building No.1,
1st floor, Lamington Road,
Mumbai – 400004.

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Enforcement Department
Recovery Division

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 956 of 2014
Certificate No.255 of 2014

M/s. Central Depository Services (India) Limited
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

1. Whereas a Recovery **Certificate No.255 of 2014 dated 14.07.2014** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.796068/- (Rupees Seven Lakh Ninety Six thousand Sixty Eight Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **M/s DPS Shares & Securities Pvt. Ltd. ["Defaulter"] PAN. AABCD1053A** and the same is due from him in respect of the said certificate. A Notice of Demand **dated 14.07.2014** has been issued to **M/s DPS Shares & Securities Pvt. Ltd.**.

Description of Dues	**Amount**
Penalty imposed by the Adjudicating Officer vide order no. SD/AO/83/2009 dated 14.08.2009 in the matter of Zigma Software Ltd..	500000
Interest from 14.08.2009 to 14.07.2014 @ 12% p.a.	295068
Recovery cost	1000
Total	796068

2. And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :

    i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

19/7/14

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अनुवर्ती :
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

A .P. No.956 of 2014

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.

   a) Details of all the Accounts held by the defaulter with you;
   b) Copy of the Account Statement/s; and
   c) Confirmation of Attachment of the said account/s

6. This Notice of attachment is issued in exercise of powers conferred under section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Mumbai this 14th day of July, 2014.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION

D.V.Sekhar

RECOVERY OFFICER

D.V.Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

M/s DPS Shares & Securities Pvt. Ltd.
(PAN:AABCD1053A)
428, Rawal Building No.1,
1st floor, Lamington Road,
Mumbai – 400004.

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).