

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 6362 of 2025

Deepak Sampatrao Bangal : Appellant
Vs

CPIO, SEBI, Mumbai : Respondent

ORDER

1. The appellant had filed an application dated March 20, 2025 (received by the respondent through RTI MIS Portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated April 09, 2025, responded to the application filed by the appellant. The appellant filed an appeal (Reg. No. SEBIH/A/E/25/00073) dated April 16, 2025. I have carefully considered the application, the response and the appeal and find that the matter can be decided based on the material available on record.
2. **Queries in the application** - The appellant, in his application dated March 20, 2025, sought the following information:

“I want to following information of LFS broking ltd

Summary of hearing proceedings Request any summaries or minutes of the hearings,

Current stage of the hearing proceedings Request updates on whether hearings are ongoing, concluded, or pending

Any orders or interim orders passed during the hearing: Request information on any temporary or final decisions made

Date of hearings held: Request details on specific dates of hearings or the schedule if not know

Detailed Agenda for the hearings request details of the agenda or topics covered in the hearings

Current Status of the Case: Whether the case is still under hearing or has been resolved.

Any orders or interim orders passed.

Pending decisions or judgments.

Details of Oral Arguments or Submissions

Summaries or brief reports on oral arguments and submissions made by both parties

The names of persons or organizations representing the parties legal counsel or officials in each hearing. If there have been any adjournments or delays, please provide reasons and any communications regarding such delays. Timeline and Estimated Date for Final Resolution: an estimated date for the final resolution of the case”

3. **Reply of the Respondent** –The respondent, in response to queries in the application, informed that SEBI has observed that certificates of registration granted by SEBI to LFS Broking Private Limited in capacities as Stock Broker, Depository Participant, Research Analysts and Portfolio Manager were found to be misused. Hence, SEBI vide its Order WTM/KV/IMD/IMD-SEC-4/30557/2024-25 dated June 28, 2024, cancelled the certificates of registration granted to LFS Broking Private Limited in various capacities and debarred Mr. Saiyad Jiyajur Rahaman from being employed or associated with any registered intermediary or other person associated with the securities market for a period of 5 years. The respondent also informed that the copy of the order is available in public domain on SEBI website which, *inter alia*, encompasses the details of SEBI proceedings.
4. **Ground of appeal** – The appellant has filed the appeal on the ground that he was provided incomplete, misleading or false information.
5. I have perused the application and the response provided thereto. On consideration, I find that the information sought by the appellant is available in public domain. In this context, the Hon’ble Delhi High Court, in the matter of *Registrar of Companies & Ors. Vs. Dharmendra Kumar Garg & Anr.* and the Hon’ble Central Information Commission in the matter of *Shri K Lall vs. Shri M K Bagri* (CIC/AT/A/2007/00112, order dated April 12, 2007), *inter alia*, observed that if the relevant information is available in the public domain, the same cannot be said to be information held by the public authority and consequently, there is no obligation to provide such information to an applicant under the RTI Act. Notwithstanding the aforesaid, I note that respondent has appropriately guided the appellant to refer to SEBI website for the requested information. Accordingly, I do not find any deficiency in the response of the respondent.

6. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The appeal is accordingly dismissed.

Place: Mumbai

Date: May 13, 2025

RUCHI CHOJER

**APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA**