SECURITIES AND EXCHANGE BOARD OF INDIA
EASTERN REGIONAL OFFICE
L&T CHAMBERS, 16 CAMAC STREET, KOLKATA-700017

Contact No. (033)23023000,
E-Mail: recoveryero@sebi.gov.in

RECOVERY CERTIFICATE No. RC2492 of 2019

Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the Income Tax Act, 1961

Anumati Distributors Private Limited (now known as Anumati Stock Broking Private Limited) **Address** – Center Point, 21, Hemant Basu Sarani, Room No. 230, 2nd Floor, Kolkata – 700001	**PAN: AAGCA0999K**

NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 r.w SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014.

1. This is to certify that a sum of **Rs. 2,30,852/-** (Rupees Two Lakh Thirty Thousand Eight Hundred Fifty Two Only) as detailed below is due to SEBI from you.

Description of Dues	Amount (Rs.)
Penalty imposed by the Adjudicating Officer vide order no. AO/SS/VS/2018-19/604 dated 31/05/2018 against Anumati Distributors Pvt. Ltd. (now known as Anumati Stock Broking Private Limited) in the matter of Nikki Global Finance Ltd.	2,00,000/-
Interest from 31/05/2018 to 28/08/2019 @ 12% p.a.	29,852/-
Costs	1,000/-
Total	**2,30,852/-**

2. Whereas as per the material available on records, it appears that you have not preferred any appeal against the order before Hon'ble SAT or Hon'ble Supreme Court.

3. You are hereby directed to pay the above sum of **Rs. 2,30,852/-** (Rupees Two Lakh Thirty Thousand Eight Hundred Fifty Two Only) along with further interest, all costs, charges and expenses, within 15 (Fifteen) days of the receipt of this Notice **(DD shall be drawn in favour of the "SEBI Recovery Proceeds" payable at Kolkata (or) EFT/NEFT/RTGS to A/c No. 012210210000013 of Bank of India, (Bandra Kurla Complex, Branch) [IFS Code – BKID0000122]**, failing which the recovery shall be made in accordance with the provisions of ***Section 28A of the Securities and Exchange Board of India Act, 1992*** as amended by the Securities Laws (Amendment) Act, 2014 read with Section 220 to 227, 228A, 229, 232 of the Income Tax Act, 1961 and the Second and Third Schedule to the said Act and the rules made thereunder.

भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
Recovery Division
वसूली प्रभाग

4. Intimation on remittance made to the cited bank account should be separately provided to the Recovery Officer at Securities and Exchange Board of India – Eastern Regional Office, L&T Chambers, 3rd Floor, 16, Camac Street, Kolkata – 700017 or to the e-mail address recoveryero@sebi.gov.in, as per the format in table below;

Case Name and Recovery Certificate Number	
Name of Payee	
Date of Payment	
Amount Paid	
Transaction No. / Instrument No.	
Bank Details from which payment is made	

Note: *In the absence of intimation on remittance as per the above format, the credits made will not be accounted towards your dues.*

5. In addition to the abovementioned sum, you will also be liable for interest, all costs, charges and expenses incurred in respect of the services of this notice and of warrants and other processes and all other proceedings taken for recovering the said sum.

Dated: 28.08.2019

भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India Recovery Division वसूली प्रभाग

Mitrajeet Dey

मित्रजीत दे / Mitrajeet Dey
वसुली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Dy. General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
कोलकाता / Kolkata