

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 6577 of 2025

Arun Kumar Sarkar : Appellant
Vs

CPIO, SEBI, Mumbai : Respondent

ORDER

1. The appellant had filed an application dated September 10, 2025 (received by the respondent through RTI MIS Portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated September 20, 2025, responded to the application filed by the appellant. The appellant filed an appeal (Reg. No. SEBIH/A/E/25/00261) dated September 26, 2025. I have carefully considered the application, the response and the appeal and find that the matter can be decided based on the material available on record.
2. **Request in the application** - The appellant, in his application dated September 10, 2025, sought the following information:

“Background (to facilitate locating easily the document and expeditiously providing the same): One complaint was lodged vide Registration Number SEBIE/HY25/FARI/024886/1 on 25.06.2025, by me online at <https://scores.sebi.gov.in>. Not being satisfied with ATR and FIRST LEVEL REVIEW, I applied for SECOND LEVEL REVIEW by SEBI on 04.08.2025. The SECOND LEVEL REVIEW by SEBI was carried out by Ms. Veena Kumari, Assistant General Manager, NRO Department of SEBI, and the case is disposed off. The SECOND LEVEL REVIEW Report is not uploaded at website while disposing off the case.

In this connection, please provide following document: 1. The Report of SECOND LEVEL REVIEW by SEBI against online Complaint Registration Number SEBIE/HY25/FARI/024886/1 made on 25.06.2025 at <https://scores.sebi.gov.in>.”

3. **Reply of the Respondent** –The respondent, in response to the query in the application, informed that the status along with ATR is available on the SCORES website and the same can be accessed by logging in to SCORES with your login credentials
4. **Ground of appeal** – The appellant has filed the appeal on the ground that he was provided incomplete, misleading or false information.
5. I have perused the application and the response provided thereto. I note that the appellant, has sought the report of second level review by SEBI with respect to his SCORES complaint. The appellant, in his appeal, has contended that no ATR or report of second level review by SEBI is uploaded on SCORES portal. On consideration, I find that the request of the appellant is very specific and hence, the response provided by the respondent does not appear to be adequate. In my view, the respondent was obliged to ascertain availability of the requested information with SEBI and whether the same may be provided to the appellant in accordance with the provisions of the RTI Act. On consideration, I find that the aforesaid request has not been adequately addressed.
6. Considering the above observations, I remit the application to the respondent for *de novo* consideration of the application and sending appropriate response to the appellant in terms of RTI Act, within 15 working days from the date of receipt of this order.
7. The appeal is accordingly disposed of.

Place: Mumbai

Date: October 13, 2025

RUCHI CHOJER
APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA