



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

Completion of Recovery Certificate No. 7031 of 2023 dated 07.08.2023 issued against Sharad Shivnarayan Kasat_in the matter of trading in Illiquid Stock Options

1. Recovery Certificate No. 7031 of 2023 dated 07.08.2023 was drawn against Sharad Shivnarayan Kasat_(PAN: AATPK1216B) for a sum of of Rs.5,21,000/- (Rupees Five Lakh Twenty-One Thousand only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, for non-payment of penalty imposed by Adjudicating Officer vide no. Order/VV/NK/2023-24/26556 dated 18.05.2023 in the matter of trading in Illiquid Stock Options.
2. In view of the payment of the amount due under the certificate, the said certificate is hereby completed in exercise of powers under sub-section (1) of section 28A of the Securities and Exchange Board of India Act, 1992 read with section 224 and rule 12 of the Second Schedule to the Income-tax Act, 1961.

Dated: 21.08.2023
Place: Mumbai



RECOVERY OFFICER

Deepu Anandan

दीपू आनंदन

Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities And Exchange Board Of India
भारतीय प्रतिभूती एरॉ विनियम बोर्ड
Mumbai
मुंबई