भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Dy. General Manager & Recovery Officer
Recovery and Refund Department
Recovery Division-3

Tel: 022-2644-9513
Email: recoveryho1@sebi.gov.in

RRD/RD-3/JP/HG/28327/1/2019

The Principal Officer /
Chairman & Managing Director / CEO
All Banks in India / All Mutual Funds in India

Dear Sir/Madam,

Sub: Remittance of attached amount under Attachment proceeding No. 1954 & 1955 of 2015

1. It may be recalled that the Recovery Officer vide the subject attachment proceedings in **Recovery Certificate No. 623 of 2015 dated April 30, 2015** had directed attachment of Bank accounts/Mutual Fund Folio(s) of Mr. Kalpesh Babaraya (**PAN AFXPB0128C**) [**"Defaulter"**] against the total due of Rs 6,87,411/- (Rupees Six Lakh Eighty Seven Thousand Four Hundred and Eleven only) with further interest, all costs, charges and expenses, etc.

2. Whereas Notice of Demand dated April 30, 2015 has been sent to defaulter and Notice of Attachment of Bank Account/Mutual Fund Folio(s) dated April 30, 2015 has been issued to you.

3. Whereas the current liability/dues from the Defaulter as on date is an amount of **Rs 9,57,822/- (Rupees Nine Lakh Fifty Seven Thousand Eight Hundred and Twenty Two only).**

4. Accordingly, You are hereby directed to remit amount to the extent as mentioned in Para 3 above lying in the account of the defaulter with your Bank/Mutual Fund, forthwith to SEBI by way of **EFT/NEFT/RTGS to SEBI RECOVERY PROCEEDS A/c No. 012210210000013 of Bank of India, Bandra Kurla Complex, Branch) [IFS Code- BKID0000122]** immediately and intimate the remittance details by email to recoveryho1@sebi.gov.in / hafisg@sebi.gov.in in the format as given in table below:

Case Name and Recovery Certificate Number :	
Name of Payee :	
Date of Payment:	
Amount Paid :	
Transaction No. :	
Bank Details from which payment is made :	

Contd...2

SECURITIES AND EXCHANGE BOARD OF INDIA RECOVERY DIVISION

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards the dues.

5. This direction is issued in exercise of powers conferred under section 28A of the SEBI Act, 1992 as amended by the Securities Laws (Amendment) Act, 2014 r/w section 220 to 227, 228A, 229, 232, the Second and Third Schedules to the Income-tax Act 1961 (43 of 1961) and the Income-tax (Certificate Proceedings) Rules, 1962 of the Income-tax Rules

Given under my hand and seal at Mumbai this 31st Day of October, 2019.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *
SEAL

31/10/2019

RECOVERY OFFICER
JAI PARKASH
जय प्रकाश
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूती एवं विनिमय बोर्ड
Mumbai
मुंबई

Copy to:

Mr. Kalpesh Babaraya
Room No. 30, 3rd Floor,8/16
Patel Building, Opp. Bora Street
M K Amin Marg, Fort
Mumbai - 400001