



भारतीय प्रतिभूति  
और विनियम बोर्ड  
Securities and Exchange  
Board of India  
SEBI/NRO/OW/P/2024/0231/1

RECOVERY DIVISION  
NORTHERN REGIONAL OFFICE  
Tel: 011-69012998/36, [recoverynro@sebi.gov.in](mailto:recoverynro@sebi.gov.in)

Attachment Proceeding No. 4746 and 4747 of 2019  
Recovery Certificate No. 1959 of 2019

The Principal Officer / Chairman & Managing Director / CEO  
Mutual Funds in India

Remittance of attached amount under Attachment proceeding no. 4746 and 4747 of 2019

1. It may be recalled that the Recovery Officer vide the subject attachment proceedings in Recovery Certificate No. 1959 of 2019 dated February 15, 2019, had directed attachment of Bank accounts of Tanu Sudan [Defaulter] PAN: BKMP56129L in the matter of S E Investments Ltd. against the total due of Rs. 2,18,096/- (Rupees Two Lakh Eighteen Thousand Ninety Six Only) with further interest, all costs, charges and expenses, etc.
2. Whereas Notice of Demand dated February 15, 2019 has been sent to Defaulter and Notice of Attachment of Bank Account and Mutual Funds dated August 20, 2019 has been issued to you.
3. Whereas the current liability/dues from the Defaulter as on date is an amount of Rs. 3,39,000/- (Rupees Three Lakh Thirty Nine Thousand Only).
4. Accordingly, you are hereby directed to redeem the units in the folios held by the Defaulter with your Mutual Fund to the extent of dues mentioned in para 3 above lying in the account of the Defaulter(s) with your Mutual Fund, forthwith to SEBI by way of direct credit through EFT/NEFT/RTGS to A/c No. SEBIRDPEN1959 of ICICI Bank, IFSC code – ICIC0000106) immediately and intimate these remittance details by email to [pallavia@sebi.gov.in](mailto:pallavia@sebi.gov.in), [kshamac@sebi.gov.in](mailto:kshamac@sebi.gov.in) and [recoverynro@sebi.gov.in](mailto:recoverynro@sebi.gov.in) in the format given below:

|                                                |  |
|------------------------------------------------|--|
| 1. Case Name and Recovery Certificate Number : |  |
| 2. Name of Payee :                             |  |
| 3. Date of Payment:                            |  |
| 4. Amount Paid :                               |  |
| 5. Transaction No. :                           |  |
| 6. Bank Details from which payment is made :   |  |

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards the dues.

5. This direction is issued in exercise of powers conferred under section 28A of the SEBI Act, 1992 as amended by the Securities Laws (Amendment) Act, 2014 r/w section 220 to 227, 228A, 229, 232, the Second and Third Schedules to the Income-tax Act 1961 (43 of 1961) and the Income-tax (Certificate Proceedings) Rules, 1962 of the Income-tax Rules.

Given under my hand and seal at New Delhi this 02<sup>nd</sup> day of January, 2024.

SEAL

Copy to:

Tanu Sudan  
27 Quarter, Lodhi Road, Complex,  
New Delhi – 110003



RECOVERY OFFICER

हमामा प्र. वाघेकर / Kshama P. Waghorkar  
बगली अधिकारी एवं उप महाप्रबंधक  
Recovery Officer & Deputy General Manager  
भारतीय प्रतिभूति और विनियम बोर्ड  
Securities and Exchange Board  
उत्तर प्रादेशिक कार्यालय / Northern Regional Office  
नई दिल्ली / New Delhi

“हम हिन्दी पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंजिल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवाई नगर, नई दिल्ली - 110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000

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