



भारतीय प्रतिभूति
और विनिमय बोर्ड
*Securities and Exchange
Board of India*

By Hand Delivery

**CHIEF GENERAL MANAGER &
ADJUDICATING OFFICER**

EAD6/GG/VV/ 29580 /1/2022
July 21, 2022

Moses Harding John
Flat No. 1A, 27 Bakar Road
Kolkata - 700027

**Sub: Hearing Notice - Adjudication proceedings in the matter of dealings in
Trinity Alternative Investment Managers Limited.**

**Ref: 1) Show Cause Notice (SCN) dated May 04, 2022.
2) Hearing Notice dated June 03, 2022**

1. This is with reference to the captioned adjudication proceedings.
2. The Show Cause Notice (SCN) dated 4th May 2022 was duly delivered to you in the captioned matter granting you, 14 days' time to reply to the SCN. However, we have not received any reply to the Show Cause Notice under reference.
3. In the interest of natural justice, an opportunity for personal hearing was granted to you on June 13, 2022 vide Hearing Notice dated June 03, 2022 (Copy of the Hearing Notice is attached herewith). However you failed to avail the opportunity of the hearing granted to you in the matter.
4. Considering the facts and circumstances of the case, in the interest of natural justice a final opportunity of hearing is granted to you on **July 28, 2022 at 3:00 p.m.** at the following address-

**Securities and Exchange Board of India,
SEBI BHAVAN-II, Plot C-7, G- Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai – 400 051**



अनुवर्ती :
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
*Securities and Exchange
Board of India*

5. You may appear either in person and/or through your representative or through your Lawyer/ or any authorized person. Your representative is required to submit an appropriate letter of authority along with Photo Identity Card at the time of hearing.
6. You may indicate if you desire a hearing through video conferencing, in which case hearing will be arranged through video conferencing on the scheduled date and time.
7. Further, as per Rule 4(7) of the Adjudication Rules, if you fail to attend the said hearing proceeding on the stipulated date and time, it will be presumed that you have no submissions to offer in your defense and the matter would be further proceeded on the basis of the material available on record.
8. Please acknowledge the receipt of this Notice and confirm the name of the representative(s), if any, appearing for the hearing at least three days before the hearing date on geethag@sebi.gov.in and vvidya@sebi.gov.in. You are also advised to keep us informed about the change in your correspondence address, if any, till the completion of the proceedings.


GEETHA G

Encl: The copy of the Hearing Notice dated June 03, 2022.



CHIEF GENERAL MANAGER &
ADJUDICATING OFFICER

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

By Speed Post AD/E-mail

EAD6/GG/VVI/23498/15/2022
June 03, 2022

1. Trinity Alternative Investment Managers Limited. Pan No: AAGCS5232F Email ID: shilpa.modi@taiml.co.in	Alternative Investment Trust) 'Vishwakarma' 86C, Topsia Road (South), Kolkata – 700046.
2. Satish Chandra Jha Pan No: AAJPJ2177Q Email ID: Not available	G-61, P.O. Palam Vihar, Gurgaon, Haryana -122017.
3. S Rajagopal Pan No: ABLPR5509F Email ID: rajagopals961@hotmail.com	'Varennya' 1043, 1th Main Road, Judicial Officers Layout, GK VK Post, Bangalore – 560065.
4. Shashi Bhushan Tiwari Pan No: ABSPT6019M Email ID: janabsbt@gmail.com	122A, Southern Avenue, Kolkata – 700026.
✓ 5. Moses Harding John Pan No: AABPH3324D Email ID: Not available	Flat No. 1A, 27, Bakar Road, Kolkata 700027
6. Parag Keshar Bhattacharjee Pan No: ACWPB82794 Email ID: paragkbhattacharjee@gmail.com	BG- 149, Sector -2, Salt Lake, Kolkata 700091
7. Chandra Sekhar Samal Pan No: ARMP5 1477J Email ID: c.ssamal@yahoo.com	CA-193, Sector-2, Bidhan Nagar, Kolkata, West Bengal 700064
8. Sanjeev Sancheti Pan No: AQIPS8082Q Email ID: Not available	Uniworld City – Garden, Tower - 4, Flat- 201, Action Area III, New Town, Kolkata - 700156
9. Susil Kumar Pal Pan No: AEHPP8238E Email ID: contactskpal@rediffmail.com	36, Tara Sankar Sarani, Kolkata-700037

सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.
Tel.: 2644 9950 / 4045 9950 (TVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



अनुवर्ती :
Continuation :

भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India

Sub: Notice of Personal Hearing in terms of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules ("Adjudication Rules") in the matter of dealings in Trinity Alternative Investment Managers Limited.

Ref: Show Cause Notice No. EAD6/GG/VV/15719/1/2022 dated May 04, 2022.

1. This is with reference to the above said Show Cause Notice (hereinafter referred to as "SCN") served upon you.
2. You may note that, vide the said SCN you were granted 14 days' time to reply to the SCN. Noticee No. 1,3 & 8 have replied post receipt of the SCN. In case your reply to the SCN on merit has not been sent, the same may be sent at the earliest or before June 13, 2022.
3. In the interest of natural justice and in order to conduct an inquiry an opportunity of hearing is being granted to you as per Rule 4 (3) of the Adjudication Rules on **June 13, 2022 at 3:30 p.m.** at the following address, wherein you may make your submissions before me, if any.

Securities and Exchange Board of India,
SEBI BHAVAN-II, Plot C-7, G- Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai – 400 051.
4. Please note that arrangements can be made for you to attend the hearing through video conference via Zoom link. If you desire to avail the hearing through the same, kindly provide email ids and mobile numbers of the entities attending the hearing vide email to geethaa@sebi.gov.in and vvidya@sebi.gov.in. You are requested to download Zoom app. The Zoom link for video conference shall be shared on the email id / mobile number provided by you.
5. In the event of you appointing any other person to appear on your behalf, you are advised to send the necessary authorization letter. You and/or your authorized representative may appear on the aforesaid date, time and venue and make necessary submissions along with supporting documents, if any. Please confirm your attendance by email, fax/letter **at least two days** prior to the date of hearing.



अनुवर्ती :
Continuation :

**भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India**

6. Further, as per Rule 4(7) of the Adjudication Rules, if you fail to attend the said hearing proceeding on the stipulated date and time, it will be presumed that you have no submissions to offer in your defense and the matter would be further proceeded on the basis of the material available on record.
7. You are advised to provide a copy of your PAN card for our record. You are also advised to keep us informed about the change in your correspondence address, if any, till the completion of the proceedings.

GEETHA G