



**Dy. General Manager & Recovery Officer
Recovery and Refund Department
Recovery Division-3**

**भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India**

Tel: 022-26449311

Email : recoveryho1@sebi.gov.in

Notice of Attachment of Mutual Fund Folio(s)

**Attachment Proceeding No. 573-MF & 576-MF of 2019
Certificate No. 136 & 137 of 2014**

**The Principal Officer /Chairman & Managing Director / CEO
All the Mutual Funds in India.**

1. Whereas a **Recovery Certificate No. 136 & 137 of 2014** dated **June 26, 2014** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 4,60,090/- (Rupees Four Lakh Sixty Thousand and Ninety only) and Rs. 3,07,060/- (Rupees Three Lakh Seven Thousand and Sixty only) respectively along with interest / costs / charges / expenses etc. against **Mr. Ashok Sonu Bhagat ["Defaulter"] (PAN: AFTP6492F)** and the same is due from the defaulter in respect of the said certificate. A Notice of Demand dated June 26, 2014 has been issued to Mr. Ashok Sonu Bhagat.
2. Whereas considering the amount already recovered, the current liability / dues from defaulter as on date is an amount of **Rs. 6,49,628.50 (Rupees Six Lakh Forty Nine Thousand Six Hundred Twenty Eight and Fifty Paise only)** towards Certificate No. 136 and an amount of **Rs. 4,35,805/- (Rupees Four Lakh Thirty Five Thousand Eight Hundred and Five only)** towards Certificate No. 137 and there is sufficient reason to believe that the Defaulter may dispose of the securities/instruments in the Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
 - i) All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
4. It is further ordered with immediate effect that **No Debit** shall be made in the said folios until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.



सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बान्द्रा-कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.

Tel.: 2644 9950 / 4045 9950 (TVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



अनुवर्ती :
Continuation :

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भारतीय प्रतिभूति
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Securities and Exchange
Board of India

A.P. No. 573-MF & 576-MF of 2019

- a) Details of all the Accounts/folios held by the Defaulter with you;
 - b) Copy of the Account Statement/s; and
 - c) Confirmation of Attachment of the said accounts/folios
6. If the Defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the Defaulter, the same shall be also informed on the email: recoveryho1@sebi.gov.in
7. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961

Given under my hand and seal at Mumbai on this 5th Day of November, 2019.

SEAL



Copy to:

Mr. Ashok Sonu Bhagat
H-19, Ramji Gupta Chawl,
Sakharan Buvapatil Marg,
Santacruz (West),
Mumbai 400 054.


05/11/2019

RECOVERY OFFICER

JAI PARKASH

जय प्रकाश

Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूति एवं विनिमय बोर्ड
Mumbai
मुंबई