भारतीय प्रतिभूति और विनिमय बोर्ड

Securities and Exchange Board of India

RECOVERY CELL
EASTERN REGIONAL OFFICE

Tel: 033-23023000
E Mail: recoveryero@sebi.gov.in

Notice of Attachment of MF & Demat Account

Attachment Proceeding No. 5056 of 2019
Certificate No. 2492 of 2019

The Managing Director,
National Securities Depository Limited,
Trade World, A wing, 4th & 5th Floors,
Kamala Mills Compound, Lower Parel,
Mumbai - 400013.

The Managing Director,
Central Depository Services (India) Limited,
17th Floor, P J Towers, Dalal Street,
Fort, Mumbai - 400001

The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India

1. Whereas a **Recovery Certificate No. RC2492 of 2019 dated 28.08.2019,** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 2,30,852/-** (Rupees Two Lakh Thirty Thousand Eight Hundred Fifty Two Only) as detailed below along with further interest, all costs, charges and expenses etc., against **Anumati Distributors Private Limited (now known as Anumati Stock Broking Private Ltd.) (PAN: AAGCA0999K) ["Defaulter"]** and the same is due from them in respect of the said certificate. A Notice of Demand **dated 28.08.2019** has been issued to **Anumati Distributors Private Limited (now known as Anumati Stock Broking Private Ltd.).**

Description of Dues	Amount (Rs.)
Penalty imposed by the Adjudicating Officer vide order no. AO/SS/VS/2018-19/604 dated 31/05/2018 against Anumati Distributors Pvt. Ltd. (now known as Anumati Stock Broking Private Limited) in the matter of Nikki Global Finance Ltd.	2,00,000/-
Interest from 31/05/2018 to 28/08/2019 @ 12% p.a.	29,852/-
Costs	1,000/-
Total	**2,30,852/-**

2. And whereas there is sufficient reason to believe that the Defaulter may dispose of the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India * Recovery Division * वसूली प्रभाग

i. All Demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

ii. All Mutual fund folio/s by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you.

4. It is further ordered with immediate effect that **NO Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice:

   a) Details of all the Accounts/folios held by the defaulter with you,
   b) Copy of the Account Statement/s ; and
   c) Confirmation of Attachment of the said account/s

6. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: recoveryero@sebi.gov.in.

7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this **24th day of September, 2019.**

SEAL

भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
Recovery Division
वसूली प्रभाग

Mitrajeet Dey.
RECOVERY OFFICER

मित्रजीत दे / Mitrajeet Dey
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Dy. General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
कोलकाता / Kolkata

Copy to:

Anumati Distributors Private Limited (now known as Anumati Stock Broking Private Ltd.)
Address – Center Point, 21, Hemant Basu Sarani, Room No. 230, 2nd Floor, Kolkata 700001

(With a direction not to receive/ recover/ demand the proceeds/ money held/ to be held in the aforesaid accounts).

क्षेत्रिय कार्यालय : एल एण्ड टी चेम्बर्स, तीसरी मंजिल, 16, कॅमैक स्ट्रीट, कोलकाता - 700017, दूरभाष : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in
Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in
प्रधान कार्यालय : सेबी भवन, प्लाट सं. सी-4ए, जी-ब्लाक, बान्द्रा कुर्ला कम्पलेक्स, मुंबई - 400 051, दूरभाष : 2644 9000, फैक्स : 26449019 to 26449022, वेब : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in
Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in

भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange Board of India

RECOVERY CELL
EASTERN REGIONAL OFFICE

Tel: 033-23023000
E Mail: recoveryero@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 5054 of 2019
Certificate No. RC2492 of 2019

The Principal Officer/
Chairman & Managing Director/ CEO,
All the Banks in India

1. Whereas a **Recovery Certificate No. RC2492 of 2019 dated 28.08.2019,** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 2,30,852/- (Rupees Two Lakh Thirty Thousand Eight Hundred Fifty Two Only)** as detailed below along with further interest, all costs, charges and expenses etc., against **Anumati Distributors Private Limited (now known as Anumati Stock Broking Private Ltd.) (PAN: AAGCA0999K) ["Defaulter"]** and the same is due from it in respect of the said certificate. A Notice of Demand **dated 28.08.2019** has been issued to **Anumati Distributors Private Limited (now known as Anumati Stock Broking Private Ltd.)**

Description of Dues	Amount (Rs.)
Penalty imposed by the Adjudicating Officer vide order no. AO/SS/VS/2018-19/604 dated 31/05/2018 against Anumati Distributors Pvt. Ltd. (now known as Anumati Stock Broking Private Limited) in the matter of Nikki Global Finance Ltd.	2,00,000/-
Interest from 31/05/2018 to 28/08/2019 @ 12% p.a.	23,852/-
Costs	1,000/-
Total	**2,30,852/-**

2. And whereas there is sufficient reason to believe that the defaulter may withdraw the amounts/proceeds from the accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect **to the extent of above said dues**:

   i. All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and

   ii. All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s **to the extent of the total dues mentioned above** until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
Recovery Division
वसूली प्रभाग

क्षेत्रिय कार्यालय : एल एण्ड टी चेम्बर्स, तीसरी मंजिल, 16, केमैक स्ट्रीट, कोलकाता - 700017, दूरभाष : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in
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भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

    i. Details of all the Accounts including Lockers held by the defaulter with your Bank,
    ii. Copy of the Account Statement/s for the latest two year in respect of all the Accounts;
    iii. Confirmation of Attachment of the said account/s and lockers; and
    iv. Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: **recoveryero@sebi.gov.in**.

7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this **24th day of September, 2019**.

SEAL

भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
Recovery Division
वसूली प्रभाग

Mitrajeet Dey.
RECOVERY OFFICER

मित्रजीत दे / Mitrajeet Dey
वसुली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Dy. General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
कोलकाता / Kolkata

Copy to:

Anumati Distributors Private Limited (now known as Anumati Stock Broking Private Ltd.)
Address – Center Point, 21, Hemant Basu Sarani, Room No. 230, 2nd Floor, Kolkata 700001

(With a direction not to receive/ recover/ demand the proceeds/ money held/ to be held in the aforesaid accounts).

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