



भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

SEBI/NRO/OW/P/2023/44781/1

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012936, recoverynro@sebi.gov.in

Order Releasing Bank, Demat Accounts and Mutual Funds Folios of Ficus Securities Private Limited, Mr. Vinod Kumar Bansal, Ms. Neena Bansal and Mr. Surendra Singh, jointly and severally, in the matter of Ficus Securities Private Limited.

Attachment Proceeding No. 9954 & 9955 of 2023
Certificate No. 6224 of 2023

The Principal Officer/Chairman/MD/CEO,
All Banks in India
All Mutual Funds of India

NSDL and CDSL, Mumbai

1. Whereas Certificate No. 6224 of 2023 dated January 27, 2023 was drawn against Ficus Securities Private Limited (PAN: AAACV0429K), Mr. Vinod Kumar Bansal (PAN: AAGPB8255Q), Ms. Neena Bansal (PAN: AIDPB7112P) and Mr. Surendra Singh (PAN: EFTPS4215D) [Defaulters], jointly and severally, in the matter of Ficus Securities Private Limited and a sum Rs. 10,41,000/- (*Rupees Ten Lakh Forty One Thousand Only*) was due under the said certificate along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum.
2. And whereas a Notice of Attachment dated April 12, 2023 under the above proceedings was issued attaching bank, demat accounts/lockers and mutual fund folios of the entity.
3. And whereas an amount Rs. 10,91,534.21/- (*Rupees Ten Lakh Ninety One Thousand Five Hundred Thirty Four and Twenty One Paise Only*) has been recovered towards full and final settlement of the dues from the defaulter under the said certificate.
4. In view of the above, you are hereby directed to release bank, demat accounts/lockers and Mutual Fund Folio etc. of Ficus Securities Private Limited (PAN: AAACV0429K), Mr. Vinod Kumar Bansal (PAN: AAGPB8255Q), Ms. Neena Bansal (PAN: AIDPB7112P) and Mr. Surendra Singh (PAN: EFTPS4215D) [Defaulters] Jointly and Severally, attached, if any, pursuant to the above notice of attachment with immediate effect.

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“हम हिन्दी पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंज़िल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवई नगर, नई दिल्ली - 110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000

Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Web. : www.sebi.gov.in





Continuation Sheet

**भारतीय प्रतिभूति
और विनिमय बोर्ड
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**Attachment Proceeding No. 9954 & 9955 of 2023
Certificate No. 6224 of 2023**

5. This is without prejudice to any other order of attachment or freeze by any competent authority, including SEBI, under any law or any other recovery certificate.

Given under my hand and seal at New Delhi this 07th day of November, 2023.

SEAL



Copy to:

RECOVERY OFFICER

क्षमा प्र. वाघेकर / Kshama P. Wagharkar
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरा प्रादेशिक कार्यालय / Northern Regional Office
नई दिल्ली / New Delhi

Ficus Securities Private Limited 810, Indra Prakash Building, 21, Barakhamba Road, C.P. New Delhi – 110001	Mr. Vinod Kumar Bansal 810, Indra Prakash Building, 21, Barakhamba Road, C.P., New Delhi – 110001
Ms. Neena Bansal 810, Indra Prakash Building, 21, Barakhamba Road, C.P. New Delhi – 110001	Mr. Surendra Singh 810, Indra Prakash Building, 21, Barakhamba Road, C.P., New Delhi – 110001