



Recovery & Refund Department
Recovery Division-III
Tel: 022-26449610
Email: recoveryho1@sebi.gov.in

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Corrigendum to Notice of Demand

Recovery Certificate No. 6484 of 2023

Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the Income Tax Act, 1961

1. Whereas a **Recovery Certificate No.6484 of 2023** dated **02.05.2023** has been drawn up by the Recovery Officer against **Anugrah Stock & Broking Pvt. Ltd. ["Defaulter"]** for non-payment of penalty imposed by the Adjudicating Officer vide order no. Order/GR/BM/2022-23/18249-18252 dated 29.07.2022 in the matter of Front running by Anita Shyam Mhatre. A **Notice of Demand** dated **02.05.2023** has been issued to the Defaulter for recovery of amount payable under the aforesaid Recovery Certificate.
2. In the aforesaid Notice of Demand, the PAN of the Defaulter has been mentioned as **"AACW2920N"**, the same shall instead be read as **"AAACW2920N"**.
3. Notice of Demand dated 02.05.2023 issued under RC.No.6484 shall be read along with this corrigendum.

Given under my hand and seal at Mumbai this 8th day of June 2023.



Copy to:

Anugrah Stock & Broking Pvt. Ltd. (PAN:AAACW2920N)
First Floor, 103, Nisarg Apartment, Besant Road,
Vile Parle West,
Mumbai 400056

RECOVERY OFFICER

Deepu Anandan

दीपू आनंदन

Dy. General Manager & Recovery Officer

उप महाप्रबंधक एवं वसूली अधिकारी

Securities And Exchange Board Of India

भारतीय प्रतिभूती एवं विनिमय बोर्ड

Mumbai

मुंबई



भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

SEBI Bhavan-II, Plot No.C7, G-Block, Bandra Kurla Complex, MUMBAI – 400 051.

CERTIFICATE No. 6484 of 2023

Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the Income Tax Act, 1961

Anugrah Stock & Broking Pvt. Ltd.
First Floor, 103, Nisarg Apartment, Besant
Road, Vile Parle West,
Mumbai 400056

PAN: AACW2920N

NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992

1. This is to certify that a sum of Rs.1,12,000/- (Rupees One Lakh Twelve Thousand Only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below is due to SEBI from you:

Description of Dues	Amount (in Rupees)
Penalty imposed by the Adjudicating Officer vide Order No. Order/GR/BM/2022-23/18249-18252 dated 29.07.2022 in the matter of Front running by Anita Shyam Mhatre	1,00,000
Interest from July 2022 to May 2023 @ 1% p.m.	11,000
Recovery cost	1,000
Total	1,12,000

2. You are hereby directed to pay the total amount as mentioned above within 15 (Fifteen) days of the receipt of this Notice by way of direct credit through EFT/NEFT/RTGS to A/c No. SEBIRRD PEN6484 of ICICI Bank, IFSC code – ICIC0000106) (OR) online payment facility available on the "Recovery Payment" module on the website: <https://siportal.sebi.gov.in> (OR) payment link available on the following path: SEBI Website → Enforcement → Recovery Proceedings → Pay Now) failing which the Recovery Officer shall proceed to recover the amount due in accordance with the provisions of section 28A of the Securities and Exchange Board of India Act, 1992 read with sections 220 to 227, 228A, 229 and 232 of the Income-tax Act, 1961 and the Second Schedule to the said Act and the rules made thereunder.
3. In the event of non-payment of the dues as above, SEBI shall recover the money by one or more of the following modes, namely:-
- Attachment and sale of your movable property;
 - Attachment of your bank accounts;
 - Attachment and sale of your immovable property;

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d) Arrest and detention in prison;

e) Appointing a receiver for the management of your movable and immovable properties.

4. Further, as per Explanation 1 to section 28A of the SEBI Act, any direct or indirect transfer of your property or monies held in bank accounts to your spouse or minor child or son's wife or son's minor child, otherwise than for adequate consideration, on or after 29.07.2022, shall be deemed to be your property or money for the purpose of recovery.

5. You are also advised to take note that upon service of this notice, you are not competent to mortgage, charge, lease or otherwise deal with any property belonging to you except with the permission of the Recovery Officer and any such transfer shall be deemed void as per rule 16 of the Second Schedule to the Income-tax Act, 1961 read with section 28A of the SEBI Act.

6. Any confirmation of e-payment(s) made (in the format as given in table below) should be forwarded to "The Recovery Officer, Securities and Exchange Board of India, SEBI Bhavan-II, Plot No. C – 7, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051." or sent by email to akhileshs@sebi.gov.in & recoveryho1@sebi.gov.in

1. Case Name and Recovery Certificate Number :	
2. Name of Payee :	
3. Date of Payment:	
4. Amount Paid :	
5. Transaction No. :	
6. Bank Details from which payment is made :	

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards your dues.

7. In addition to the aforesaid dues, you will also be liable for further interest, all costs, charges and expenses incurred in respect of recovery proceedings against you.

Dated: 02.05.2023


DEEPU ANANDAN
DEPUTY GENERAL MANAGER AND RECOVERY OFFICER

