Cancellation of Recovery Certificate No. 3342 of 2021 dated March 09, 2021 issued against Mamta Jain (PAN: AAPPJ6257K) in the matter of Dealing in Illiquid Stock options at the BSE

Whereas the Recovery Certificate No. 3342 of 2021 dated March 09, 2021 was drawn against Mamta Jain (PAN: AAPPJ6257K) for sum of Rs.5,67,247/- (Rupees Five Lakh Sixty-Seven Thousand Two Hundred Forty-Seven Only) along with further interest, all costs, charges and expenses incurred for non-payment of penalty imposed by Adjudicating Officer vide Order No. Order/PM/AB/2019-20/6693 dated 31/01/2020 in the matter of Dealing in Illiquid Stock options at the BSE.

The entity has availed the benefit of the SEBI Settlement Scheme, 2022 and the matter has been settled vide Settlement Order No. SO / AB / EFD2 / 2022-23 /7162 (Serial No. 1568) dated March 08, 2023.

Since the defaulter has availed the SEBI Settlement Scheme, 2022, and in exercise of powers under sub-section (1) of section 28A of the Securities and Exchange Board of India Act, 1992; read with section 224 and rule 12 of the Second Schedule to the Income-tax Act, 1961, the Recovery Certificate No. 3342 of 2021 dated March 09, 2021 drawn against Mamta Jain (PAN: AAPPJ6257K) hereby stands cancelled.

Given under my hand and seal at Ahmedabad this 15th day of March 2023.

भारतीय प्रतिभूति और विनिमय बोर्ड
पश्चिमी प्रादेशिक कार्यालय
Western Regional Office
Securities and Exchange Board of India

KJadhav

RECOVERY OFFICER

Kirtikumar Jadhav
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad