



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

RECOVERY CELL
EASTERN REGIONAL OFFICE

Tel: 033-23023000
E Mail: recoveryero@sebi.gov.in

ORDER RELEASING BANK, DEMAT & MUTUAL FUND ACCOUNTS

Attachment Proceeding No. 9791 and 9792 of 2023

Certificate No. RC4870 of 2023

**Principal Officer/ Chairman & Managing Director/ CEO,
All the Banks in India, All the Mutual Funds in India &
NSDL/CDSL, Mumbai**

1. Whereas a **Certificate No. RC4870 of 2022 dated June 10, 2022**, has been drawn up by the Recovery Officer against **Sarita Gupta (PAN: ABNPK7026J) ["Defaulter"]** and the sum of **Rs. 5,26,000/- (Rupees Five Lakh Twenty-Six Thousand Only)** along with further interest, all costs, charges and expenses was due from them in respect of the said certificate in the above Recovery Proceedings for recovery of the said sum, for non-payment of penalty imposed by the Adjudicating Officer vide Order No. Order/VV/NK/2021-22/15000 dated February 17, 2022 against Sarita Gupta (PAN: ABNPK7026J) in the matter of Illiquid Stock Options at BSE.
2. And whereas a Notice of Attachment in the above proceedings dated **February 20, 2023** was issued attaching the Bank and Demat accounts of the Defaulter.
3. And whereas, SEBI has recovered an amount of **Rs. 5,89,985/- (Rupees Five Lakh Eighty Nine Thousand Eighty Five Only)**, which includes interest and costs, from the Defaulter against full and final settlement of demand raised in the aforesaid recovery certificate.
4. In view of the above, you are hereby directed to release the Bank Accounts/Lockers, Demat Accounts, Mutual Fund Accounts of the Defaulter attached, if any, pursuant to the above said notice/s of attachment.

Given under my hand and seal at Kolkata this **18th day of December, 2023**.

SEAL



Copy to:

Sarita Gupta
Address- 96, Diamond Harbour Road, Kolkata -700023



RECOVERY OFFICER

राज कुमार कलुरी / Raj Kumar Kaluri
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Dy. General Manager
भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India
कोलकाता / Kolkata