RECOVERY CELL
EASTERN REGIONAL OFFICE

Tel: 033-23023000
E Mail: recoveryero@sebi.gov.in

Notice of Attachment of MF & Demat Account

Attachment Proceeding No. 14570 of 2025
Certificate No. 8548 of 2024

The Managing Director,
National Securities Depository Limited,
Trade World, A wing, 4th & 5th Floors,
Kamala Mills Compound, Lower Parel,
Mumbai - 400013.

The Managing Director,
Central Depository Services (India) Limited,
17th Floor, P J Towers, Dalal Street,
Fort, Mumbai - 400001

The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India

1. Whereas a **Recovery Certificate No. 8548 of 2024 dated January 14, 2025,** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 6,01,000/- (Rupees Six Lakh One Thousand Only)** as detailed below along with further interest, all costs, charges and expenses etc., against **Pankaj Kumar Agrawal HUF (PAN: AALHP4980R) ["Defaulter"]** and the same is due from them in respect of the said certificate. A **Notice of Demand dated January 14, 2025** has been issued to the above named.

Description of Dues	Amount (Rs.)
Penalty imposed by the Adjudicating Officer vide Order No. Order/SM/DD/2023-24/27834 dated June 28, 2023 against Pankaj Kumar Agrawal HUF (PAN: AALHP4980R) in the matter of Illiquid Stock Options.	5,00,000/-
Interest from 28/06/2023 to 14/01/2025 @ 1% p.m.	1,00,000/-
Recovery Cost	1,000/-
Total	**6,01,000/-**

2. And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/ instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India Recovery Division वसूली प्रभाग

i. All Demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

ii. All Mutual fund folio/s whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

4. It is further ordered with immediate effect that **NO Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice:

   a) Details of all the Accounts/folios held by the defaulter with you,
   b) Copy of the Account Statement/s ; and
   c) Confirmation of Attachment of the said accounts/folios

6. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: **recoveryero@sebi.gov.in**.

7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this **21st day of April, 2025.**

K. Kaluri

SEAL

भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
Recovery Division
SEBI
वसूली प्रभाग

RECOVERY OFFICER

राज कुमार कलुरि / Raj Kumar Kaluri
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Dy. General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
कोलकाता / Kolkata

Copy to:

Pankaj Kumar Agrawal HUF
Address- Address: Akhtiyapur House, 3rd Floor, Nr Old Arvind Mahila Clg, Kadamkuan, Patna, Bihar, India 800003

With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts.