



Recovery & Refund Department
Recovery Division II

भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India

Tel: 022-26449401

Email: recoveryho@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 4428 of 2019

Certificate No. 2041 of 2019

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

1. Whereas a Recovery **Certificate No.2041 of 2019 dated 22.03.2019** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.1042425/- (Rupees Ten Lakhs Forty Two Thousand Four Hundred Twenty Five Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **Mr. Kinjal Girish Shah ["Defaulter"] PAN. BBZPS3921B** and the same is due from him in respect of the said certificate. A Notice of Demand dated **22.03.2019** has been issued to **Mr. Kinjal Girish Shah** for the dues as under :

Description of Dues	Amount
Penalty imposed on Mr. Kinjal Girish Shah (PAN No. BBZPS3921B) by the Adjudicating Officer vide order no. EAD-9/AO/SM/183-205/2018-19 dated 16.11.2018 in the matter of Trading by Soni Group in the scrip of M/s. Saianand Commercial Ltd. (erstwhile M/s.Oregon Commercial Ltd.).	1000000
Interest from November 16, 2018 to March 22, 2019 @ 12% p.a.	41425
Recovery cost	1000
Total	1042425

2. The said notice of demand was served on the defaulter on 27.3.2019. However, he has failed to pay the dues as above within 15 days from the service of notice of demand.

3. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

4. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect **to the extent of above said dues**:

- i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.

Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



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और विनिमय बोर्ड
Securities and Exchange
Board of India

A .P. No.4428 of 2019

- ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

5. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s **to the extent of the total dues mentioned above** until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

6. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

- Details of all the Accounts including Lockers held by the defaulter with your Bank;
- Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
- Confirmation of Attachment of the said account/s and lockers; and
- Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

7. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoveryho@sebi.gov.in. and maharukh@sebi.gov.in

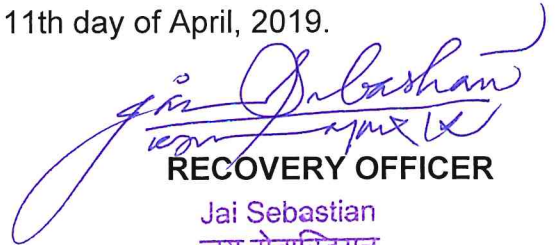
8. This Notice of attachment is issued in exercise of powers conferred under section 28A of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961.

Given under my hand and seal at Mumbai this 11th day of April, 2019.



Copy to

Mr. Kinjal Girish Shah
(PAN:BBZPS3921B)
Room No.456 Ghosalkar Chawl,
Laxman Mahatry Road, Kandar Pada,
Dahisar West, Mumbai 400068


RECOVERY OFFICER
Jai Sebastian
जय सेबास्टियन

Dy. General Manager & Recovery Officer
रुप महाप्रबंधक एवं वसूली अधिकारी
Securities And Exchange Board of India
भारतीय प्रतिभूति और विनिमय बोर्ड
Mumbai
मुंबई

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).



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Tel: 022-26449401

Email: recoveryho@sebi.gov.in

Notice of Attachment of Demat Account and Mutual Fund Folio(s)

Attachment Proceeding No. 4429 of 2019

Certificate No.2041 of 2019

M/s. National Securities Depository Ltd.

4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

M/s. Central Depository Services (I) Ltd.

P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

**The Principal Officer /Chairman & Managing Director / CEO
All the Mutual Funds in India.**

- Whereas a Recovery **Certificate No.2041 of 2019 dated 22.03.2019** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.1042425/- (Rupees Ten Lakhs Forty Two Thousand Four Hundred Twenty Five Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **Mr.Kinjal Girish Shah["Defaulter"] PAN. BBZPS3921B** and the same is due from him in respect of the said certificate. A Notice of Demand **dated 22.03.2019** has been issued to **Mr. Kinjal Girish Shah** for the dues as under :

Description of Dues	Amount
Penalty imposed on Mr. Kinjal Girish Shah (PAN No. BBZPS3921B) by the Adjudicating Officer vide order no. EAD-9/AO/SM/183-205/2018-19 dated 16.11.2018 in the matter of Trading by Soni Group in the scrip of M/s. Saianand Commercial Ltd. (erstwhile M/s.Oregon Commercial Ltd.).	1000000
Interest from November 16, 2018 to March 22, 2019 @ 12% p.a..	41425
Recovery cost	1000
Total	1042425

- The said notice of demand was served on the defaulter on 27.3.2019. However, he has failed to pay the dues as above within 15 days from the service of notice of demand.
- And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.



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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

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और विनिमय बोर्ड
Securities and Exchange
Board of India

A .P. No.4429 of 2019

4. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :
 - i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
 - ii) All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
5. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.
6. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
 - a) Details of all the Accounts/folios held by the defaulter with you;
 - b) Copy of the Account Statement/s; and
 - c) Confirmation of Attachment of the said accounts/folios
7. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: recoveryho@sebi.gov.in. and maharukh@sebi.gov.in
8. This Notice of attachment is issued in exercise of powers conferred under section 28A of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961.

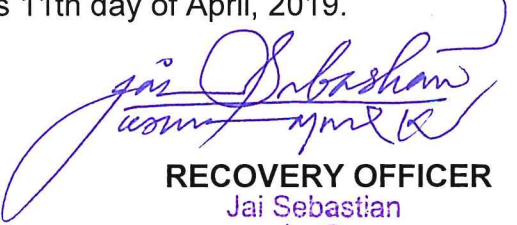
Given under my hand and seal at Mumbai this 11th day of April, 2019.

SEAL



Copy to:

Mr. Kinjal Girish Shah
(PAN:BBZPS3921B)
Room No.456 Ghosalkar Chawl,
Laxman Mahatry Road, Kandarpada,
Dahisar West, Mumbai 400068.


RECOVERY OFFICER
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(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).