भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Ms. Rachna Anand, General Manager
Market Intermediaries Regulation and Supervision Department
Tel.: 26441528
E mail: rachnaa@sebi.gov.in

OW/RA/2021/15851
April 19, 2022

Om Sri Sai Investments
1st Floor, Nisarg Apartment, Besant Road,
Above Saraswat Bank Ltd., Vile Parle (West),
Mumbai – 400 056 Maharashtra

NOTICE OF HEARING IN THE MATTER OF ANUGRAH STOCK & BROKING PRIVATE LIMITED (ASBPL)

1. Please refer to the Show Cause Notice No. SEBI/HO/MIRSD/DPIEA/OW/RA/2021/16267 dated July 26, 2021 and Supplementary Show Cause Notice no. OW/RA//2021/37466/1 dated December 15, 2021, in the captioned subject matter, served on you by way of newspaper publication.

2. With reference to the same, an opportunity of hearing was granted to you before Shri. S.K.Mohanty (Whole Time Member), which was scheduled on February 02, 2022. However, the same was not availed by you.

3. In the interest of justice, you have been granted a final opportunity of personal hearing, before Shri. S.K.Mohanty (Whole Time Member), which is scheduled on June 01, 2022 at 3:30 PM at the following venue:

   Venue: SEBI Bhavan
   PN-C/7, G Block BKC,
   Bandra Kurla Complex, Bandra (E),
   Mumbai, Maharashtra 400051

4. In case you fail to appear before the WTM on the said date, it shall be presumed that you have nothing further to state in the matter, and the matter will be proceeded with on the basis of material available on record.

5. You may appear for the hearing personally or through your authorized representative. Please ensure that person(s) authorized to appear for hearing bring original as well as photo copy of their Identity Card and the original Authorization Letter along with PAN of the Noticee.

6. You are required to acknowledge the receipt of this notice and confirm the name of official(s) / representative(s) with designation(s) appearing for the hearing at least three days before the hearing date by way of a letter or e-mail at the address – rachnaa@sebi.gov.in and ashutoshp@sebi.gov.in.

Rachna Anand,
General Manager