भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Assistant General Manager
Northern Regional Office
Market Intermediaries Regulation and Supervision Department

SEBI/NRO/NRO/NRO/OW/P/2022/10158/1
March 10, 2022

Sl. No.	Name and Address of the Noticee
1	**फिकस सिक्यूरिटीज प्रा. लि./ Ficus Securities Pvt. Ltd.** 810 इंद्र प्रकाश बिल्डिंग, 21 बाराखंबा रोड, कनॉट प्लेस, नई दिल्ली-110001 810, Indra Prakash Building, 21 Barakhamba Road, Cannought Place, New Delhi – 110001
2	**श्री विनोद कुमार बंसल/ Mr. Vinod Kumar Bansal** बी-89, ग्राउंड फ्लोर, मालवीय नगर, साकेत, नई दिल्ली -110018 B-89, Ground Floor, Malviya Nagar, Saket, New Delhi -110018
3	**श्री सुरेंदर सिंह/ Mr. Surender Singh** 93/जी 1, बी-ब्लॉक, दिलशाद कॉलोनी, दिल्ली-110095 93/G1, B-Block, Dilshad Colony, Delhi-110095
4	**श्रीमती नीना बंसल/ Mrs. Neena Bansal** बी-89, ग्राउंड फ्लोर, मालवीय नगर, साकेत, नई दिल्ली -110018 B-89, Ground Floor, Malviya Nagar, Saket, New Delhi -110018
5	**फिकस कोमोडिटीस प्रा. लि./ Ficus Commodities Pvt. Ltd.** 810 इंद्र प्रकाश बिल्डिंग, 21 बाराखंबा रोड, कनॉट प्लेस, नई दिल्ली-110001 810, Indra Prakash Building, 21 Barakhamba Road, Cannought Place, New Delhi – 110001
6	**श्रीमती पूनम राजभर / Smt. Poonam Rajbhar** 6-149, गली नंबर 6, निरंकारी कॉलोनी, दिल्ली – 110009 6-149, Gali No. 6,Nirankari Colony, Delhi – 110009
7	**श्रीमती तृप्ता कपूर / Smt. Tripta Kapoor** एल-62/ ए, ग्राउंड फ्लोर, ब्लॉक- एल, मालवीय नगर, नई दिल्ली- 110017 L-62/A, Ground Floor, Block L, Malviya Nagar, New Delhi 110017
8	**श्रीमती शबनम जॉन / Smt. Shabnam John** एस क्यू डी II, 267 विनय मार्ग, चाणक्य पुरी, नई दिल्ली- 110021 SQ D II, 267 Vinay Marg, Chanakya Puri, New Delhi 110021

NOTICE OF HEARING IN THE MATTER OF FICUS SECURITIES PVT. LTD. AND ORS.

"हम हिन्दी पत्राचार का स्वागत करते हैं।"

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Continuation Sheet

1. Please refer to the Show Cause Notice dated March 31, 2021, served upon you in the captioned subject matter.

2. With reference to the same, I am directed to inform that in the interest of justice, you have been granted an opportunity of personal hearing, before the Whole Time Member SEBI, on **11 May, 2022, 02:30 pm.**

3. In case you fail to appear for the scheduled hearing in the said date, it shall be presumed that you have nothing further to state in the matter, and the matter will be proceeded with on the basis of material available on record.

4. You may appear for the hearing personally or through your authorized representative. Please ensure that person(s) authorized to appear for hearing bring original as well as photo copy of their Identity Card and the original Authorization Letter along with PAN of the Noticee.

5. You are required to acknowledge the receipt of this notice and confirm the name of official(s) / representative(s) with designation(s) appearing for the hearing at least three days before the hearing date by way of a letter or e-mail at the address – virsahabs@sebi.gov.in and meetua@sebi.gov.in.

6. Venue and mode of hearing shall be intimated in due course, and for the same you may contact the undersigned at meetua@sebi.gov.in or at the given no. 011 6901 2945, one week prior to the scheduled hearing.

भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India नई दिल्ली New Delhi

Meetu Aggarwal
AGM