



भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

SEBI/NRO/OW/P/2021/8146/1

RECOVERY & REFUND DIVISION
NORTHERN REGIONAL OFFICE

Tel: 011-69011058, recoverynro@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 6525 of 2021
Certificate No. 3407 of 2021

The Principal Officer/
Chairman & Managing Director / CEO
All the Banks in India

1. Whereas a Recovery Certificate No. 3407 dated April 05, 2021 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 3,79,23,184/-** (*Rupees Three Crores Seventy Nine Lakh Twenty Three Thousand One Hundred Eighty Four*) along with further interest as directed in the order dated July 06, 2020, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below, against **Amalendu Mukherjee (PAN: AMWPM2947A)** [Defaulter]. A Notice of Demand dated April 05, 2021 has been issued to the above named.

Description of Dues	Amount
Non-compliance of direction of disgorgement vide order no. WTM/MPB/IVD-ID6/120/2020 dated July 06, 2020 in the matter of	2,30,34,010
Interest @ 12% from November 17, 2015 to April 05, 2021	1,48,88,174
Costs	1,000
Total	3,79,23,184

2. The defaulter has already been given sufficient time to disgorge the money in terms of WTM order no. WTM/MPB/IVD-ID6/120/2020 dated July 06, 2020. However the defaulters have not shown any willingness to comply with the directions.
3. It is therefore to protect the interest of investors, in exercise of power conferred on me, I hereby order to attach the following with immediate effect:
- a) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
- b) All other amount/ proceeds due or may become due to the defaulter or any money held or may subsequently hold for or on account of the defaulter.



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“हम हिन्दी पत्राचार का स्वागत करते हैं।”

प्रादेशिक कार्यालय : पाँचवा तल, बैंक ऑफ बड़ौदा भवन, 16, संसद मार्ग, नई दिल्ली-110001 दूरभाष (Telephone): 011-23724001-05 फैक्स (Fax) : 23724006 & 8
REGIONAL OFFICE : 5TH FLOOR, BANK OF BARODA BUILDING, 16, SANSAD MARG, NEW DELHI - 110001

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला काम्प्लेक्स, बान्द्रा (पूर्व) मुंबई-400051 दूरभाष (Telephone): 022-26449000 फैक्स (Fax) : 022-26449019 to 26449022
Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai-400051 Web. : www.sebi.gov.in



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और विनिमय बोर्ड
**Securities and Exchange
Board of India**

AP No. 6525 of 2021

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned on service of this Notice:
 - a) Details of all the A/cs including Lockers held by the defaulter with your Bank,
 - b) Copy of the A/c Statement/s for the past one year in respect of all the A/cs;
 - c) Confirmation of Attachment of the said Account/s
 - d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoverynro@sebi.gov.in.
7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2) (ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at New Delhi this 5th Day of April, 2021.

SEAL



Copy to:

Amalendu Mukherjee
DSM-340, DLF Tower, Shivaji Marg,
Najafgarh Road Industrial Area,
New Delhi-110 015


**RECOVERY OFFICER &
DEPUTY GENERAL MANAGER**
राजीव रस्तोगी/RAJEEV RASTOGI
कसूली अधिकारी/Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
ज० प्रादेशिक कार्यालय/Regional Office
नई दिल्ली/New Delhi

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.



भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India

SEBI/NRO/OW/P/2021/8146/2

RECOVERY & REFUND DIVISION

NORTHERN REGIONAL OFFICE

Tel: 011- 69012998/58, recoverynro@sebi.gov.in

Notice of Attachment of Demat Accounts

Attachment Proceeding No.6526 of 2021

Certificate No. 3407 of 2021

National Securities Depository Ltd.
Trade World, A Wing, 4th & 5th Floors,
Kamala Mills Compound, Lower Parel,
Mumbai - 4000133

Central Depositories Services (I) Ltd.
Marathon Futurex, A-Wing, 25th floor,
NM Joshi Marg, Lower Parel,
Mumbai 400013

All Mutual Funds of India

- Whereas a Recovery Certificate No. 3407 dated April 05, 2021 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 3,79,23,184/-** (Rupees Three Crores Seventy Nine Lakh Twenty Three Thousand One Hundred Eighty Four) along with further interest as directed in the order dated July 06, 2020, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below, against **Amalendu Mukherjee (PAN: AMWPM2947A)** [Defaulter]. A Notice of Demand dated April 05, 2021 has been issued to the above named.

Description of Dues	Amount
Non-compliance of direction of disgorgement vide order no. WTM/MPB/IVD-ID6/120/2020 dated July 06, 2020 in the matter of Ricoh India Limited .	2,30,34,010
Interest @ 12% from November 17, 2015 to April 05, 2021	1,48,88,174
Costs	1,000
Total	3,79,23,184

- The defaulter has already been given sufficient time to disgorge the money in terms of WTM order no. WTM/MPB/IVD-ID6/120/2020 dated July 06, 2020. However the defaulters have not shown any willingness to comply with the directions.
- And whereas there is a strong apprehension that the defaulter may dispose off the securities in demat account/s or mutual fund folio/s held with you and realisation of amount due under the certificate would in consequence be delayed or obstructed.



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REGIONAL OFFICE : 5TH FLOOR, BANK OF BARODA BUILDING, 16, SANSAD MARG, NEW DELHI - 110001

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई-400051 दूरभाष(Telephone):022-26449000 फैक्स (Fax) : 022-26449019 to 26449022
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**Securities and Exchange
Board of India**

AP No. 6526 of 2021

4. It is therefore to protect the interest of investors, in exercise of power conferred on me, I hereby order to attach the following with immediate effect:
 - i. All demat account/s by whatever name called of the defaulter, either singly or jointly with any other person/s, held with you
 - ii. All Mutual fund folio/s by whatever name called of the defaulter, either singly or jointly with any other person/s, held with you
5. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
6. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
 - a) Details all the Accounts/folios held by the defaulter with you,
 - b) Copy of the Account Statement/s;
 - c) Confirmation of Attachment of the said accounts/folios
7. If the defaulter is not having any type of account with your bank/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: recoverynro@sebi.gov.in.
8. This Notice of attachment is issued in exercise of powers conferred under Section **Section 28A, 11(2) (ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at New Delhi this 5th Day of April, 2021.

SEAL



Copy to:

Amalendu Mukherjee
DSM-340, DLF Tower, Shivaji Marg,
Najafgarh Road Industrial Area,
New Delhi-110 015

**RECOVERY OFFICER &
DEPUTY GENERAL MANAGER**

राजीव रस्तोगी/RAJEEV RASTOGI
बसुली अधिकारी/Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उ० प्रादेशिक कार्यालय/Regional Office
नई दिल्ली/New Delhi

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.