

SECURITIES AND EXCHANGE BOARD OF INDIA
SEBI BHAVAN, Plot No.C4-A, G-Block,
Bandra Kurla Complex, MUMBAI – 400 051.

CERTIFICATE No. 6232 of 2023

Certificate under section 28A of the Securities and Exchange Board of India Act, 1992, section 23 JB of Securities Contracts (Regulation) Act, 1956 and section 19-IB of the Depositories Act, 1996 read with section 222 of the Income Tax Act, 1961

Quantum Global Securities Ltd (PAN:AAACQ0053A)

C/O Goyal Consultancy, C 18 /104, Satkar Co Op HSG, SOC, Sector 6, Shanti Nagar, Mira Road, Mumbai 401107

NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 , SECTION 23 JB of SECURITIES CONTRACTS (REGULATION) ACT, 1956 AND SECTION 19-IB OF THE DEPOSITORIES ACT, 1996

1. This is to certify that a sum of Rs.21,21,000.0/- (Rupees Twenty One Lakhs Twenty One Thousands Only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below is due to SEBI from you:

Description of Dues	Amount(in Rupees)
Penalty imposed by the Adjudicating Officer vide Order No. Order/SM/DD/2022-23/19877 dated September 29, 2022 in the matter of Quantum Global Securities Ltd.	2000000.00
Interest from September 2022 to February 2023 @ 1% p.m.	120000.00
Recovery Cost	1000.00
Total	2121000

2. You are hereby directed to pay the total amount as mentioned above within 15 (Fifteen) days of the receipt of this Notice (DD shall be drawn in favour of the "SEBI Recovery Proceeds" Account payable at Mumbai (OR) EFT/NEFT/RTGS to Account No. SEBIRDPEN6232 of ICICI Bank (IFS Code: ICIC0000106) (OR) online payment facility available on the "Recovery Payment" module on the website: <https://siportal.sebi.gov.in> (OR) payment link available on the following path: SEBI Website-->Enforcement-->Recovery Proceedings-->Pay Now) failing which the Recovery Officer shall proceed to recover the amount due in accordance with the provisions of section 28A of the Securities and Exchange Board of India Act, 1992 ("SEBI Act"), section 23 JB of Securities Contracts (Regulation) Act, 1956 ("SCRA") and section 19-IB of the Depositories Act, 1996 ("DPA") read with sections 220 to 227, 228A, 229 and 232 of the Income-tax Act, 1961 and the Second Schedule to the said Act and the rules made thereunder.



3. In the event of non-payment of the dues as above, SEBI shall recover the money by one or more of the following modes, namely:-

- (a) attachment and sale of your movable property;
- (b) attachment of your bank accounts;
- (c) attachment and sale of your immovable property;
- (d) arrest and detention in prison;
- (e) appointing a receiver for the management of your movable and immovable properties.

4. You are also advised to take note that upon service of this notice, you are not competent to mortgage, charge, lease or otherwise deal with any property belonging to you except with the permission of the Recovery Officer and any such transfer shall be deemed void as per rule 16 of the Second Schedule to the Income-tax Act, 1961 read with section 28A of the SEBI Act, section 23 JB of SCRA and section 19-IB of DPA.

5. Any confirmation of e-payment(s) made (in the format as given in table below) should be forwarded to "The Recovery Officer, Recovery Division -2, SEBI BHAVAN II, Plot No. C-7, 'G' Block, Bandra Kurla Complex, Bandra (East) MUMBAI-400 051" or sent by email to recoveryho@sebi.gov.in and bhumikas@sebi.gov.in

Case Name and Recovery Certificate Number :
Name of Payer :
Date of Payment:
Amount Paid :
Transaction No. :
Bank Details (Bank Name, Branch Name, IFSC Code and Account No.) from which payment is made :

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards the dues.

6. In addition to the aforesaid dues, you will also be liable for further interest, all costs, charges and expenses incurred in respect of recovery proceedings against you.

Dated: February 06, 2023



Suchismita Sahoo
Recovery Officer

Suchismita Sahoo
सुचिस्मिता साहू
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूती एवं विनियम बोर्ड
Mumbai
मुंबई