



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

SEBI/NRO/OW/P/2021/18737/1

RECOVERY & REFUND DIVISION
NORTHERN REGIONAL OFFICE

Tel: 011-69011058, recoverynro@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 7213 of 2021
Certificate No. 4081 of 2021

The Principal Officer/
Chairman & Managing Director / CEO
All the Banks in India

- Whereas a Recovery Certificate No. 4081 of 2021 dated August 10, 2021 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.4.50 Crore** (Rupees Four Crores and Fifty Lakh) along with returns due to investors, further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below, against **Peers Allied Corporation Limited (PAN:AAECP5032C)**, **Mr. Alok Kumar Tripathi (PAN:AEUPT1819A)**, **Mr. Sandeep Kumar Mishra (PAN:AXHPM2750M)**, **Mr. Shailesh Kumar Upadhyay (PAN:ABDPU8384M)** and **Mr. Vishwambher Nath Dubey (PAN:AZRPD9701B)**, jointly and severally [Defaulters]. A Notice of Demand dated August 10, 2021 has been issued to the above named.

Description of Dues	Amount
Non-compliance of directions of refund to the investors vide order nos. WTM/AB/EFD-1/DRA-39/2019-20 dated December 27, 2019, WTM/PS/187/CIS-NRO/MAR/2015 dated March 14, 2016 and WTM/SR/CIS-NRO/14/04/2014 dated April 23, 2014 in the matter of Peers Allied Corporation Limited .	FULL FREEZE
Interest	
Costs	
Total	

- The defaulter has already been given sufficient time to refund the money due to its investors in terms of SEBI order nos. WTM/AB/EFD-1/DRA-39/2019-20 dated December 27, 2019, WTM/PS/187/CIS-NRO/MAR/2015 dated March 14, 2016 and WTM/SR/CIS-NRO/14/04/2014 dated April 23, 2014. However the defaulters have not shown any willingness to comply with the directions.

“हम हिन्दी पत्राचार का स्वागत करते हैं।”

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उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंज़िल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवाई नगर, नई दिल्ली - 110023
Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011-69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000
Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Web : www.sebi.gov.in





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भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

AP No. 7213 of 2021

3. It is therefore to protect the interest of investors, in exercise of power conferred on me, I hereby order to attach the following with immediate effect:
 - a) All account/s by whatever name called including lockers of the Defaulters, either singly or jointly with any other person/s, held with your Bank; and
 - b) All other amount/ proceeds due or may become due to the defaulters or any money held or may subsequently hold for or on account of the defaulter.
4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned on service of this Notice:
 - a) Details of all the A/cs including Lockers held by the defaulters with your Bank,
 - b) Copy of the A/c Statement/s for the past one year in respect of all the A/cs;
 - c) Confirmation of Attachment of the said Account/s
 - d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulters, the same shall be also informed on the email: recoverynro@sebi.gov.in.

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और विनिमय बोर्ड
**Securities and Exchange
Board of India**

AP No. 7213 of 2021

7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2) (ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at New Delhi this 10th day of August, 2021.

SEAL



**RECOVERY OFFICER &
DEPUTY GENERAL MANAGER**

राजीव रस्तोगी/RAJEEV RASTOGI
वसूली अधिकारी/Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
२० प्रादेशिक कार्यालय/N. Regional Office
नई दिल्ली/New Delhi

Copy to:

Peers Allied Corporation Limited A 16, 2 nd Floor Gali No. 3, Hardevpuri, Shahdara, Delhi (North East DL) 110093 IN	Mr. Alok Kumar Tripathi H. No-143, H.I.G Awas Vikas Kalyanpur, Tahseel-Kanpur Sadar, Kanpur-208001, UP
Mr. Sandeep Kumar Mishra 25, Bauradeeh Kudar, Tehsil-Gola, Gorakhpur-273208	Mr. Shailesh Kumar Upadhyay 3/14, New Idgah Colony, Kanpur-208001
Mr. Vishwambher Nath Dubey Bheusa Harpur, Budhat, Gorakhpur-2732019	Peers Allied Corporation Limited KSM Tower, 2 nd Floor, Chinderdump, Alam Bagh, Lucknow, UP 226005

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.



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और विनिमय बोर्ड
**Securities and Exchange
Board of India**

SEBI/NRO/ OW/P/2021/18737/2

RECOVERY & REFUND DIVISION
NORTHERN REGIONAL OFFICE

Tel: 011- 69012998/58, recoverynro@sebi.gov.in

Notice of Attachment of Demat Accounts

Attachment Proceeding No. 7214 of 2021
Certificate No. 4081 of 2021

National Securities Depository Ltd.
Trade World, A Wing, 4th & 5th Floors,
Kamala Mills Compound, Lower Parel,
Mumbai - 4000133

Central Depositories Services (I) Ltd.
Marathon Futurex, A-Wing, 25th floor,
NM Joshi Marg, Lower Parel,
Mumbai 400013

All Mutual Funds of India

- Whereas a Recovery Certificate No. 4081 of 2021 dated August 10, 2021 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.4.50 Crore** (*Rupees Four Crores and Fifty Lakh*) along with returns due to investors, further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below, against **Peers Allied Corporation Limited (PAN:AAECP5032C)**, **Mr. Alok Kumar Tripathi (PAN:AEUPT1819A)**, **Mr. Sandeep Kumar Mishra (PAN:AXHPM2750M)**, **Mr. Shailesh Kumar Upadhyay (PAN:ABDPU8384M)** and **Mr. Vishwambher Nath Dubey (PAN:AZRPD9701B)**, jointly and severally [Defaulters]. A Notice of Demand dated August 10, 2021 has been issued to the above named.

Description of Dues	Amount
Non-compliance of directions of refund to the investors vide order nos. WTM/AB/EFD-1/DRA-39/2019-20 dated December 27, 2019, WTM/PS/187/CIS-NRO/MAR/2015 dated March 14, 2016 and WTM/SR/CIS-NRO/14/04/2014 dated April 23, 2014 in the matter of Peers Allied Corporation Limited .	FULL FREEZE
Interest	
Costs	
Total	



“हम हिन्दी पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंजिल, ऑफिस टॉवर-1, NBCC कॉम्प्लेक्स, पूर्व किदवई नगर, नई दिल्ली-110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000

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**Securities and Exchange
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AP No. 7214 of 2021

2. The defaulter has already been given sufficient time to refund the money due to its investors in terms of SEBI order nos. WTM/AB/EFD-1/DRA-39/2019-20 dated December 27, 2019, WTM/PS/187/CIS-NRO/MAR/2015 dated March 14, 2016 and WTM/SR/CIS-NRO/14/04/2014 dated April 23, 2014. However the defaulter has not shown any willingness to comply with the directions.
3. And whereas there is a strong apprehension that the defaulters may dispose of the securities in demat account/s or mutual fund folio/s held with you and realisation of amount due under the certificate would in consequence be delayed or obstructed.
4. It is therefore to protect the interest of investors, in exercise of power conferred on me, I hereby order to attach the following with immediate effect:
 - i. All demat account/s by whatever name called of the defaulters, either singly or jointly with any other person/s, held with you
 - ii. All Mutual fund folio/s by whatever name called of the defaulters, either singly or jointly with any other person/s, held with you
5. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
6. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
 - a) Details all the Accounts/folios held by the defaulter with you,
 - b) Copy of the Account Statement/s;
 - c) Confirmation of Attachment of the said accounts/folios
7. If the defaulter is not having any type of account with your bank/not having any balance in the account/folios of the defaulters, the same shall be also informed on the email: recoverynro@sebi.gov.in.





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AP No. 7214 of 2021

8. This Notice of attachment is issued in exercise of powers conferred under Section **Section 28A, 11(2) (ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at New Delhi this 10th day of August, 2021.

SEAL



Rajeev Rastogi
**RECOVERY OFFICER &
DEPUTY GENERAL MANAGER**

राजीव रस्तोगी/RAJEEV RASTOGI
असूली अधिकारी/Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
क्षेत्रीय कार्यालय/N. Regional Office
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With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.