



भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

Completion of Recovery Certificate No. 6479 of 2023 dated May 01, 2023 issued against Mr. Adil Shamsi in the matter of Unregistered Investment Advisor.

Recovery Certificate No. **6479 of 2023** dated **May 01, 2023** was drawn against **Mr. Adil Shamsi** [Defaulter] **PAN: AVSPS7209L** for sum of **Rs. 1,28,000/-** (*Rupees One Lakh Twenty Eight Thousand*) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, for non-payment of penalty imposed by Adjudication Officer vide Order No. **Order/AP/VS/2020-21/10746** dated **March 04, 2021** in the matter of **Unregistered Investment Advisor**.

2. In view of the payment of the amount of **Rs. 1,28,000/-** (*Rupees One Lakh Twenty Eight Thousand*) due under the certificate, the said certificate is hereby completed in exercise of powers under sub-section (1) of section 28A of the Securities and Exchange Board of India Act, 1992 read with section 224 and rule 12 of the Second Schedule to the Income-tax Act, 1961.

29.05.2023
New Delhi



Rajeev Rastogi
Rajeev Rastogi
Recovery Officer

राजीव रस्तोगी / RAJEEV RASTOGI
यसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरी प्रादेशिक कार्यालय/Northern Regional Office
नई दिल्ली/New Delhi