



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India
SEBI/NRO/OW/P/2021/15795/1

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/58, recoverynro@sebi.gov.in

Notice of Attachment of Bank Accounts

Attachment Proceeding No. 6976 of 2021
Certificate No.3346 of 2021

The Principal Officer/ Chairman &
Managing Director / CEO
All the Banks in India

- Whereas a Recovery Certificate No. 3346 of 2021 dated March 12, 2021 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.41,70,644/-** (Rupees Forty One Lakh Seventy Thousand Six Hundred Forty Four Only) towards Penalty imposed by Adjudicating Officer vide order no. ORDER/GR/RR/2020-21/9536-9539 dated November 03, 2020 in the matter of **Raghav Capital and Infrastructure Limited** along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum (details given in table below), against **Raghav Capital and Infrastructure Limited (PAN:AAECR1541A)**, **Raj Kumar Raghav (PAN:AJIPR8427K)**, **Nirmala Raghav (PAN:AHWP9045M)**, **Ritu Verma (PAN:AGPPV1332D)**, jointly and severally, [Defaulters] and the same is due from the defaulters in respect of the said certificate. A Notice of Demand dated March 12, 2021 has been issued to Defaulters.

Description of Dues	Amount (Rs.)
Penalty imposed by the A.O. vide order no. ORDER/GR/RR/2020-21/9536-9539 dated March 11, 2020 in the matter of Raghav Capital and Infrastructure Limited .	40,00,000
Interest from November 03, 2020 to March 12, 2021 @ 12% p.a.	1,69,644
Recovery Cost	1,000
Total	41,70,644

- And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
- Therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect to the extent of above said dues.
 - All account/s by whatever name called including lockers of the Defaulters, either singly or jointly with any other person/s, held with your Bank; and

“हम हिन्दी पत्राचार का स्वागत करते हैं।”





Continuation Sheet

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Securities and Exchange
Board of India

AP No. 6976 of 2021

- b) All other amount/ proceeds due or may become due to the Defaulters or any money held or may subsequently hold for or on account of the Defaulters.
4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:
- a) Details all the Accounts including Lockers held by the defaulters with your Bank;
 - b) Copy of the Account Statement/s in respect of all the Accounts;
 - c) Confirmation of Attachment of the said account/s;
 - d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulters are not having any type of account with your bank/not having any balance in the account of the defaulters, the same shall also be informed on the email: recoverynro@sebi.gov.in
7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2) (ia) of SEBI Act, 1992 read with Section 226 and the Second Schedule of Income Tax Act, 1961.**

Given under my hand and seal at New Delhi this 20th day of July, 2021.

SEAL



Copy to:

Raghav Capital and Infrastructure Limited
19 B, Manas Nagar Jiya Mau,
Near Cancer Hospital Hazratganj,
Lucknow UP-226001 IN

Raj Kumar Raghav
H. No. N-418, Sector N, LDA Colony,
Ashiyana, Kanpur Road,
Lucknow-226012, UP

Nirmala Raghav
H. No. N-418, Sector N, LDA Colony,
Ashiyana, Kanpur Road,
Lucknow-226012, UP

Ritu Verma
3/516, Vivek Khand, Gomati Nagar,
Lucknow-226010 (UP)

**RECOVERY OFFICER &
DEPUTY GENERAL MANAGER**

वरसूली अधिकारी/Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उ० प्रादेशिक कार्यालय/N. Regional Office
नई दिल्ली/New Delhi

With a direction not to receive/ recover/ demand the proceeds/ money held/ to be held in the aforesaid accounts.



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**Securities and Exchange
Board of India**
SEBI/NRO/OW/P/2021/15795/2

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/58, recoverynro@sebi.gov.in

Notice of Attachment of Demat Accounts

Attachment Proceeding No. 6977 of 2021
Certificate No. 3346 of 2021

National Securities Depository Ltd
Trade World, A Wing, 4th & 5th
Floors, Kamala Mills Compound,
Lower Parel, Mumbai - 400 013

Central Depositories Services (I) Ltd
Marathon Futurex, A-Wing, 25th Floor,
NM Joshi Marg, Lower Parel,
Mumbai-400 013

All Mutual Funds of India

- Whereas a Recovery Certificate No. 3346 of 2021 dated March 12, 2021 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.41,70,644/-** (*Rupees Forty One Lakh Seventy Thousand Six Hundred Forty Four Only*) towards Penalty imposed by Adjudicating Officer vide order no. ORDER/GR/RR/2020-21/9536-9539 dated November 03, 2020 in the matter of **Raghav Capital and Infrastructure Limited** along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum (details given in table below), against **Raghav Capital and Infrastructure Limited (PAN:AAECR1541A)**, **Raj Kumar Raghav (PAN:AJIPR8427K)**, **Nirmala Raghav (PAN:AHWPN9045M)**, **Ritu Verma (PAN:AGPPV1332D)**, jointly and severally, [Defaulters] and the same is due from the defaulters in respect of the said certificate. A Notice of Demand dated March 12, 2021 has been issued to Defaulters.

Description of Dues	Amount (Rs.)
Penalty imposed by the A.O. vide order no. ORDER/GR/RR/2020-21/9536-9539 dated March 11, 2020 in the matter of Raghav Capital and Infrastructure Limited .	40,00,000
Interest from November 03, 2020 to March 12, 2021 @ 12% p.a.	1,69,644
Recovery Cost	1,000
Total	41,70,644

- And whereas there is sufficient reason to believe that the defaulters may dispose of the securities / instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

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Continuation Sheet

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**Securities and Exchange
Board of India**

AP No. 6977 of 2021

3. Therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect to the extent of above said dues.
 - i. All Demat Account/s by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you;
 - ii. All Mutual fund folio/s by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you.
4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
 - a) Details all the Accounts held by the defaulters with you;
 - b) Copy of the Account Statement/s;
 - c) Confirmation of Attachment of the said account/s.
6. If the defaulters are not having any type of account with your bank/not having any balance in the account of the defaulters, the same shall also be informed on the email: recoverynro@sebi.gov.in
7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2) (ia) of SEBI Act, 1992 read with Section 226 and the Second Schedule of Income Tax Act, 1961.**

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Ritu Verma
3/516, Vivek Khand, Gomati Nagar,
Lucknow-226010 (UP)

**RECOVERY OFFICER &
DEPUTY GENERAL MANAGER**

राजीव रस्तोगी/RAJEEV RASTOGI
वसूली अधिकारी/Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उप प्रादेशिक कार्यालय/N. Regional Office
नई दिल्ली/New Delhi

With a direction not to receive/ recover/ demand the proceeds/ money held/ to be held in the aforesaid accounts.