भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

SEBI/NRO/OW/P/2023/19182/1

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/58, recoverynro@sebi.gov.in

Notice of Attachment of Bank Accounts

Attachment Proceeding No. 10110 of 2023
Certificate No. 6425 of 2023

The Principal Officer/ Chairman &
Managing Director / CEO
All the Banks in India

1. Whereas a Recovery Certificate No. 6425 of 2023 dated April 19, 2023 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 15,91,000/-** *(Rupees Fifteen Lakh Ninety One Thousand)* towards Penalty imposed by Adjudicating Officer vide order no. **Order/SM/S./2022-23/21610-21614** dated **November 29, 2022** in the matter of **CCL International Limited** along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum (details given in table below), against **Mr. Anil Kumar (PAN: ADQPK7840M), Tanvi Fincap Private Limited (PAN: AAACT6871B) & Rama Anil Gupta Associates Pvt. Ltd. (PAN: AABCF3000H)** [Defaulters], **jointly and severally,** and the same are due from the defaulter in respect of the said certificate. A Notice of Demand dated April 19, 2023 has been issued to **defaulters.**

Description of Dues	Amount (Rs.)
Penalty imposed by the Adjudicating Officer vide order no. **Order/SM/S./2022-23/21610-21614** dated **November 29, 2022** in the matter of **CCL International Limited.**	**15,00,000/-**
Interest from **November 2022** to **April 2023** @ 1% per month	90,000/-
Recovery Cost	1,000/-
Total	**15,91,000/-**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. Therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect to the extent of above said dues.

"हम हिन्दी पत्राचार का स्वागत करते हैं।"

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंज़िल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवई नगर, नई दिल्ली - 110023
Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998
प्रधान कार्यालय : सेबी भवन, प्लाट सं. सी-4 'अ', जी-ब्लाक, बान्द्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000
Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Web. : www.sebi.gov.in

AP No. 10110 of 2023

a) All account/s by whatever name called including lockers of the defaulters, either singly or jointly with any other person/s, held with your Bank; and
b) All other amount/ proceeds due or may become due to the defaulters or any money held or may subsequently hold for or on account of the defaulters.

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
   a) Details of all the Accounts including Lockers held by the defaulters with your Bank;
   b) Copy of the Account Statement/s in respect of all the Accounts;
   c) Confirmation of Attachment of the said account/s;
   d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulters, the same shall also be informed on the email: recoverynro@sebi.gov.in

7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2) (ia) of SEBI Act, 1992 read with Section 226 and the Second Schedule of Income Tax Act, 1961.**

Given under my hand and seal at New Delhi this 11th day of May, 2023.

SEAL

भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India उ.प्र.का. वसूली प्रभाग

Rajeev Rastogi

RECOVERY OFFICER

राजीव रस्तोगी / RAJEEV RASTOGI
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरी प्रादेशिक कार्यालय/Northern Regional Office
नई दिल्ली/New Delhi

Copy to:

Mr. Anil Kumar **RDC, 131 Raj Nagar, Ghaziabad, Uttar Pradesh – 20100**	**Tanvi Fincap Private Limited** **RDC, 131 Raj Nagar, Ghaziabad, Uttar Pradesh – 201002**
Rama Anil Gupta Associates Pvt. Ltd. **C42, 3rd Floor RDC, 131 Raj Nagar, Ghaziabad, Uttar Pradesh – 201002**	

With a direction not to receive/ recover/ demand the proceeds/ money held/ to be held in the aforesaid accounts.

SEBI/NRO/OW/P/2023/19182/2

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/58, recoverynro@sebi.gov.in

Notice of Attachment of Demat Accounts

Attachment Proceeding No. 10111 of 2023
Certificate No. 6425 of 2023

National Securities Depository Ltd.
Trade World, A Wing, 4th & 5th Floors,
Kamala Mills Compound, Lower Parel,
Mumbai – 400 013

Central Depositories Services (I) Ltd.
Marathon Futurex, A-Wing, 25th Floor,
NM Joshi Marg, Lower Parel,
Mumbai – 400 013

All Mutual Funds of India

1. Whereas a Recovery Certificate No. 6425 of 2023 dated April 19, 2023 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 15,91,000/-** *(Rupees Fifteen Lakh Ninety One Thousand)* towards Penalty imposed by Adjudicating Officer vide order no. **Order/SM/S./2022-23/21610-21614** dated **November 29, 2022** in the matter of **CCL International Limited** along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum (details given in table below), against **Mr. Anil Kumar (PAN: ADQPK7840M), Tanvi Fincap Private Limited (PAN: AAACT6871B) & Rama Anil Gupta Associates Pvt. Ltd. (PAN: AABCF3000H)** [Defaulters], **jointly and severally,** and the same are due from the defaulter in respect of the said certificate. A Notice of Demand dated April 19, 2023 has been issued to **defaulters.**

Description of Dues	**Amount (Rs.)**
Penalty imposed by the Adjudicating Officer vide order no. **Order/SM/S./2022-23/21610-21614** dated **November 29, 2022** in the matter of **CCL International Limited.**	**15,00,000/-**
Interest from **November 2022** to **April 2023** @ 1% per month	90,000/-
Recovery Cost	1,000/-
Total	**15,91,000/-**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the securities / instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. Therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect to the extent of above said dues.

"हम हिन्दी पत्राचार का स्वागत करते हैं।"

AP No. 10111 of 2023

i. All Demat Account/s by whatever name called of the defaulters, either singly or jointly with any other person/s, held with you;
ii. All Mutual fund folio/s by whatever name called of the defaulters, either singly or jointly with any other person/s, held with you.

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
   a) Details of all the Accounts held by the defaulters with you;
   b) Copy of the Account Statement/s;
   c) Confirmation of Attachment of the said account/s.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall also be informed on the email: recoverynro@sebi.gov.in

7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2) (ia) of SEBI Act, 1992 read with Section 226 and the Second Schedule of Income Tax Act, 1961.**

Given under my hand and seal at New Delhi this 11th day of May, 2023.

SEAL

भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India उ.प्र.का. वसूली प्रभाग

Rajeev Rastogi

RECOVERY OFFICER
राजीव रस्तोगी / RAJEEV RASTOGI
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरी प्रादेशिक कार्यालय/Northern Regional Office/New Delhi

Copy to:

Mr. Anil Kumar RDC, 131 Raj Nagar, Ghaziabad, Uttar Pradesh – 20100	**Tanvi Fincap Private Limited RDC, 131 Raj Nagar, Ghaziabad, Uttar Pradesh – 201002**
Rama Anil Gupta Associates Pvt. Ltd. C42, 3rd Floor RDC, 131 Raj Nagar, Ghaziabad, Uttar Pradesh – 201002	

With a direction not to receive/ recover/ demand the proceeds/ money held/ to be held in the aforesaid accounts.