



भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India

Cancellation of Recovery Certificate No.4719 of 2022 dated 05.05.2022 issued against Shiva I Jaiswal HUF (PAN: AASHS8647S) in the matter of dealing in Illiquid Stock Options at BSE.

Recovery Certificate No.4719 of 2022 dated 05.05.2022 was drawn against Shiva I Jaiswal HUF (PAN: AASHS8647S) for sum of Rs.5,21,000/- (i.e. Rupees Five Lakh Twenty One Thousand Only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, for non-payment of penalty imposed by Adjudication Officer vide Order No. Order/ AO/ST/K/2021-22/15046 dated 21.02.2022 in the matter of dealing in Illiquid Stock Options at BSE.

In view of the Defaulter having availed the benefit of the SEBI settlement scheme, 2022 and the matter having been settled vide Settlement Order No. SO/AB/EFD2/2022-23/7162 dated 08.03.2023, Recovery Certificate is hereby cancelled in exercise of powers under sub-section (1) of section 28A of the Securities and Exchange Board of India Act, 1992 read with section 224 and rule 12 of the Second Schedule to the Income-tax Act, 1961.

Dated: 15.03.2023

Place: Mumbai



Srishti Ambekar

RECOVERY OFFICER

Srishti Ambekar

सृष्टी आंबेकर

Dy. General Manager & Recovery Officer

उप महाप्रबंधक एवं वसूली अधिकारी

Securities and Exchange Board of India

भारतीय प्रतिभूति एवं विनिमय बोर्ड

Mumbai

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