

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No.5814 of 2023

Purushottam Vidyadhar Chafekar	:	Appellant
Vs		
CPIO, SEBI, Mumbai	:	Respondent

ORDER

1. The appellant had filed an application dated August 23, 2023 under the Right to Information Act, 2005 (hereinafter referred to as “**RTI Act**”). The appellant filed an appeal (Reg. No. SEBIH/A/E/23/00210) dated September 30, 2023. I have carefully considered the application and the appeal and find that the matter can be decided based on the material available on record.
2. **Requests in the application** – The appellant, vide application dated August 23, 2023 has, *inter alia*, sought the following information:

“Is it mandatory for client to submit Aadhaar number to a Depository Participant (DP) under any conditions whatsoever?”

“If it is mandatory for clients to give their Aadhaar number to DPs, then what is the legal basis for this?”
3. **Ground of appeal-** The appellant has filed the appeal on the ground that no response has been provided to him by the respondent. The appellant, in his appeal, has requested that the information may be provided to him.
4. I have perused the application, appeal and other materials available on record. On consideration, it appears that due to a technical glitch/error in the RTI MIS portal, the said application of the appellant was never received in the RTI portal and hence the same could not be responded to by the respondent. In view of the above, I find that the appeal cannot be proceeded with, however, on consideration of the peculiarity of the matter, the appellant may file a fresh application by post to **Central Public Information Officer**, Securities and Exchange Board of India, SEBI Bhavan, Plot No. C-7, G Block, Bandra Kurla Complex,

Bandra (E), Mumbai 400 051 or via email to cpio_ho@sebi.gov.in and he will be exempt from paying the RTI application fee for the said application.

5. The Appeal is accordingly disposed of.

Place: Mumbai

Date: October 19, 2023

B RAJENDRAN
APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA